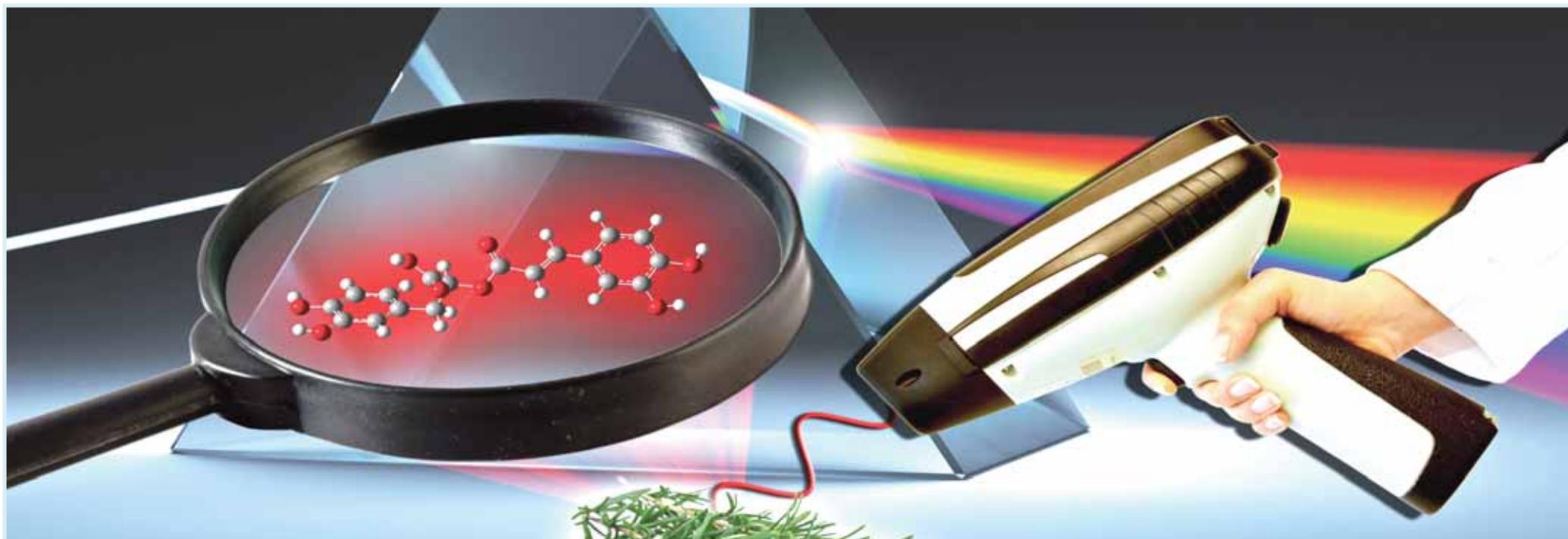


Montpellier, November 8th 2018

Current Trends in NIR Spectroscopy Including 2D-COS and Quantum Chemistry

Christian Huck

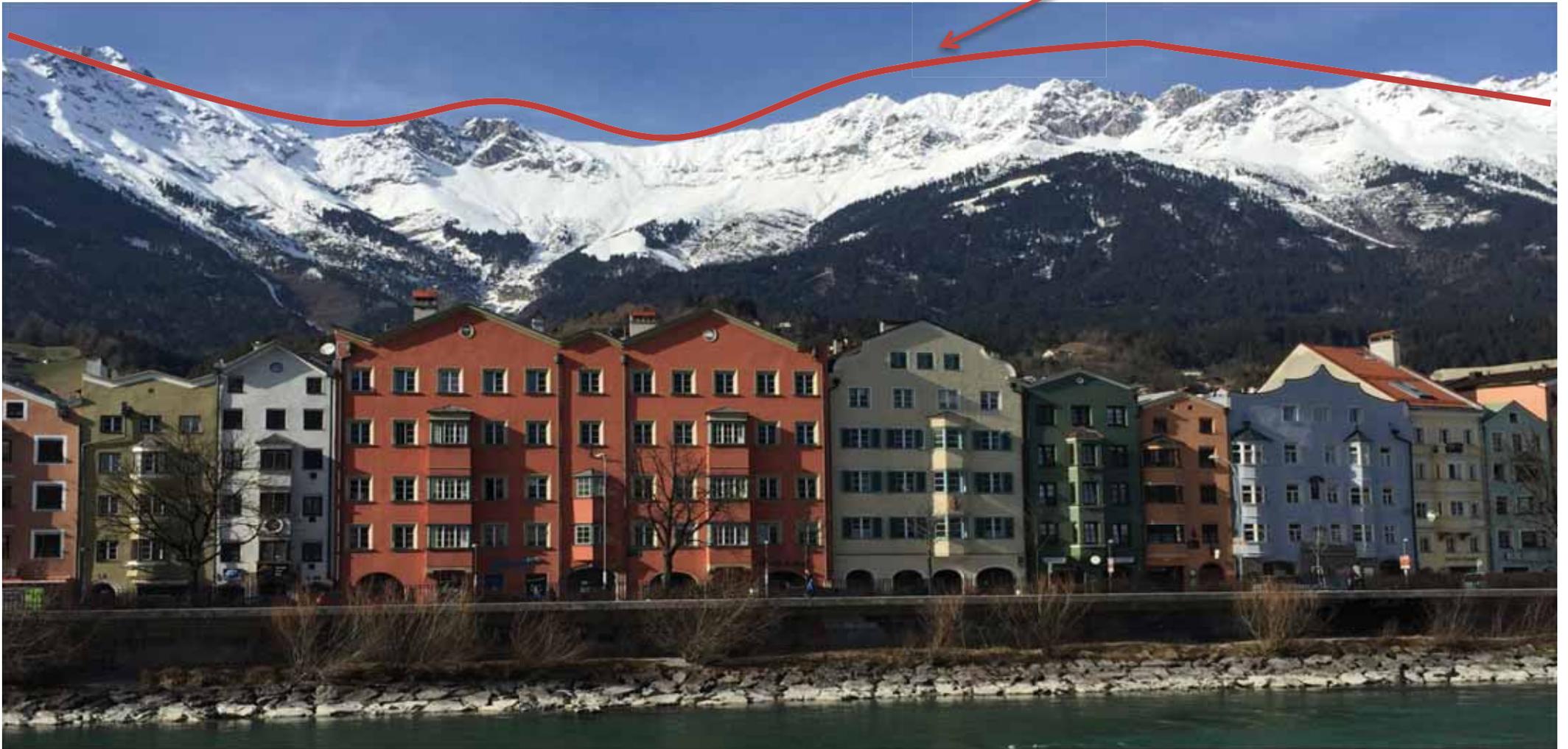
Institute of Analytical Chemistry and Radiochemistry
Leopold-Franzens University Innsbruck Austria





Innsbruck – Heart of the Alps

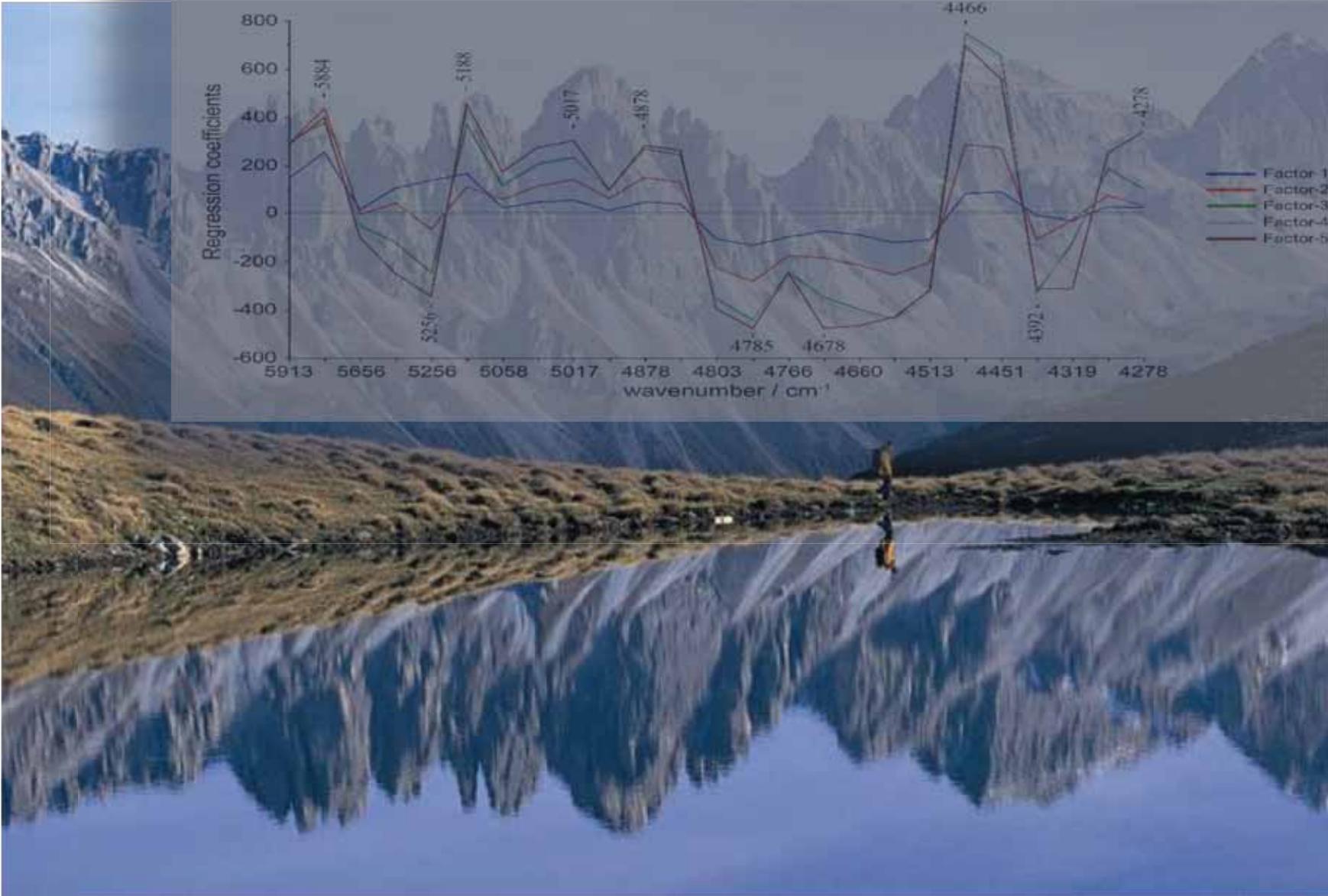
NIR spectrum



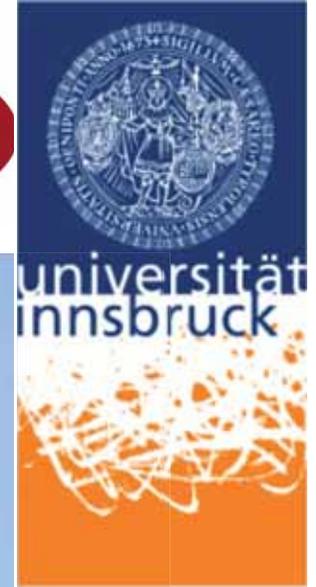
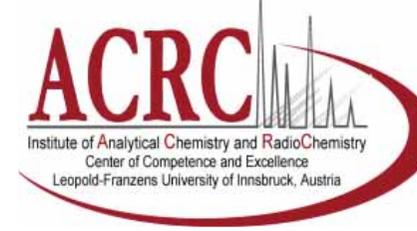
Innsbruck – Capital of the Alps



The Alps

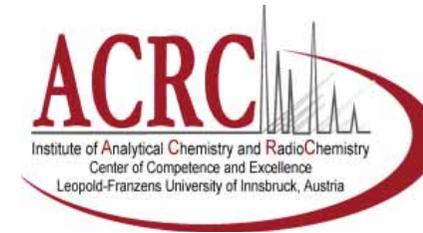


Center for Chemistry and Biomedicine





Center for Chemistry and Biomedicine



Analytical Approaches

Innovations

Enrichment

Desalting

High-sample throughput

Near-infrared

Mid-Infrared

Imaging/mapping

LC, LC-MS/MS

μ -LC, μ -LC-MS/MS

CE, CE-MS

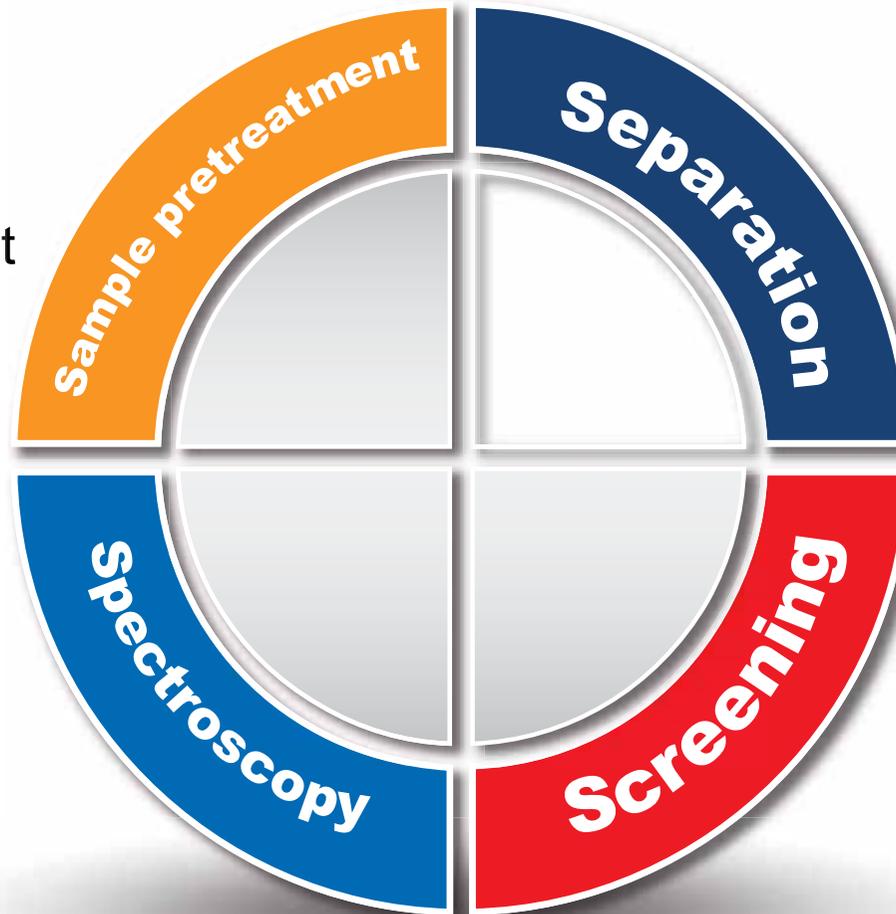
CEC

MALDI-TOF-MS/MS

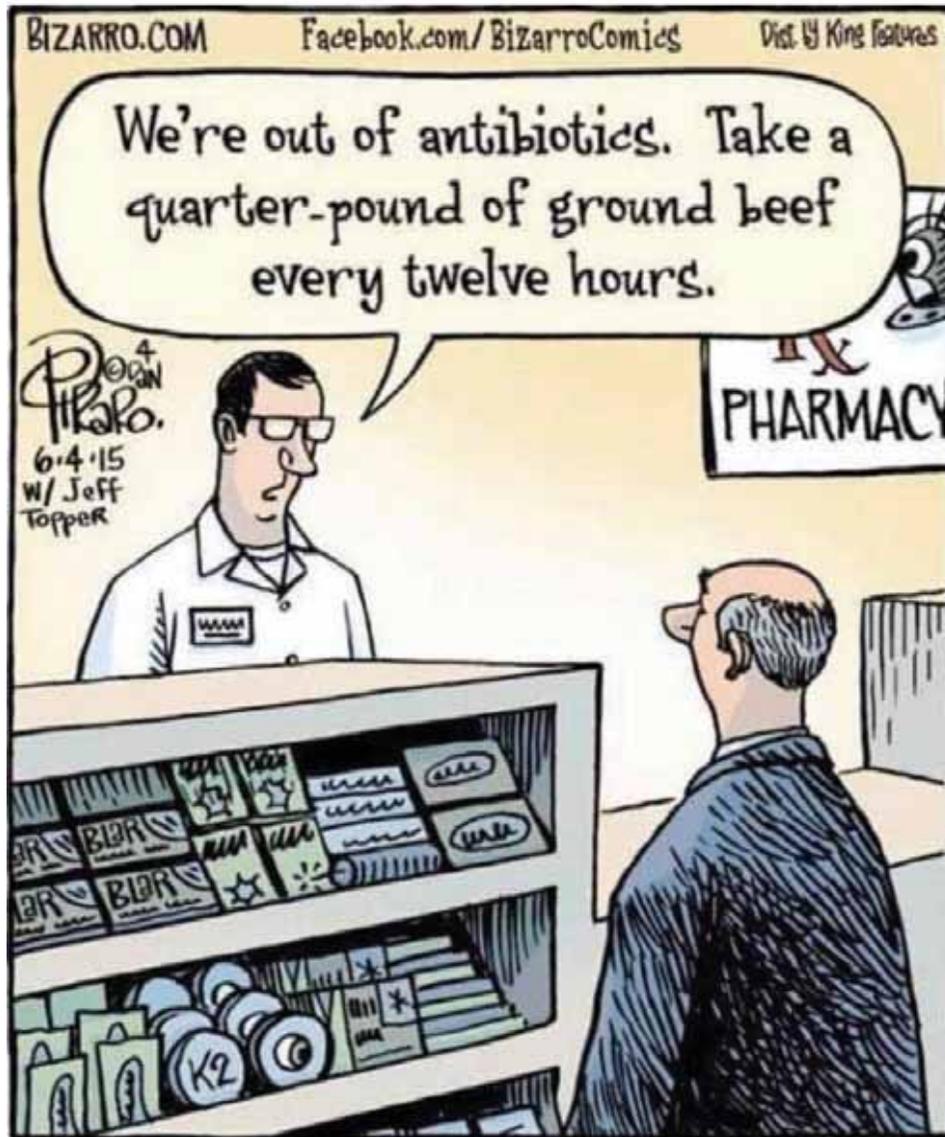
Matrixfree-MALDI

MELDI-TOF

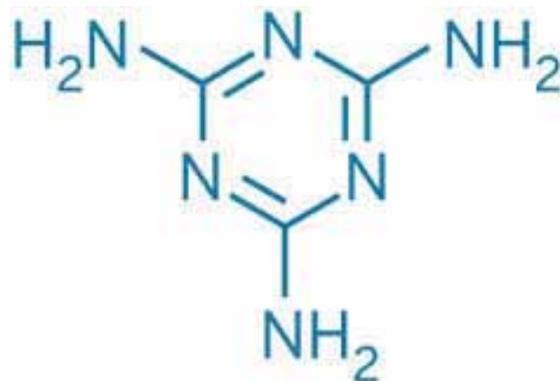
MALDI-imaging/mapping



Performance and Limitation of NIRS



MILK SCANDAL IN 2008



Melamine



China reported an estimated 300,000 victims in total. Six infants died from [kidney stones](#) and other [kidney damage](#) with an estimated 54,000 babies being hospitalized

Branigan, Tania (2 December 2008). "Chinese figures show fivefold rise in babies sick from contaminated milk". The Guardian. London.

HORSE MEAT SCANDAL IN 2013



Of 27 beef burger products tested, 37% were positive for horse DNA, and 85% were positive for pig DNA.

"FSAI Survey Finds Horse DNA in Some Beef Burger Products". Food Safety Authority of Ireland. 15 January 2013. Retrieved 16 January 2013.

Charlebois S., Schwab A., Henn R., Huck C.W. An exploratory study for measuring consumer perception towards mislabeled food products and influence on self-authentication intentions. Trends Food Sci. & Technol., 50, 211-218 (2016)

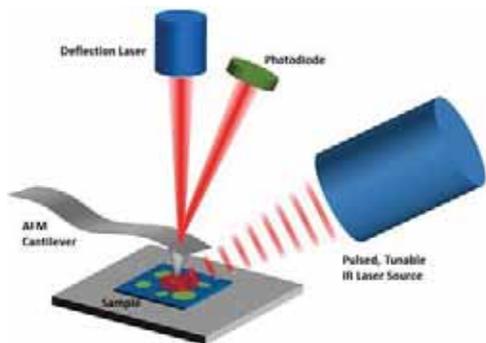
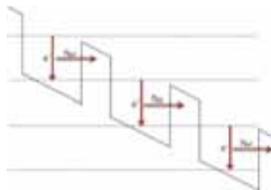
Examples of recent severe food safety incidents

Year	Incident	Region	Fatal casualties [persons]	Other health injuries [persons]	Estimated economy hit [EUR]
2017	Fipronil eggs contamination	EU	N/A	N/A	not yet estimated
2016	Plastic contamination of Mars chocolate	Worldwide (55 countries)	N/A	N/A	>10 M
2016	Punjab sweet poisoning	Pakistan	33	52	N/A
2015	<i>Escherichia coli</i> outbreak	US	N/A	22	>80 M
2013	Horse meat scandal	EU	N/A	N/A	N/A
2008	Milk adulteration with melamine	China	6	300 000	N/A
2007	Salmonella contamination of Cadbury sweet	UK	N/A	42	N/A

Analytical Chemistry

Higher Performance

- Resolution
- Sensitivity
- Selectivity



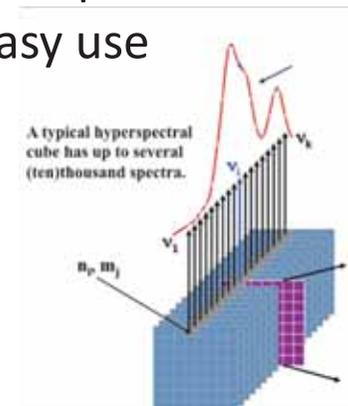
Miniaturisation

- Small, portable
- Cheap
- Easy use

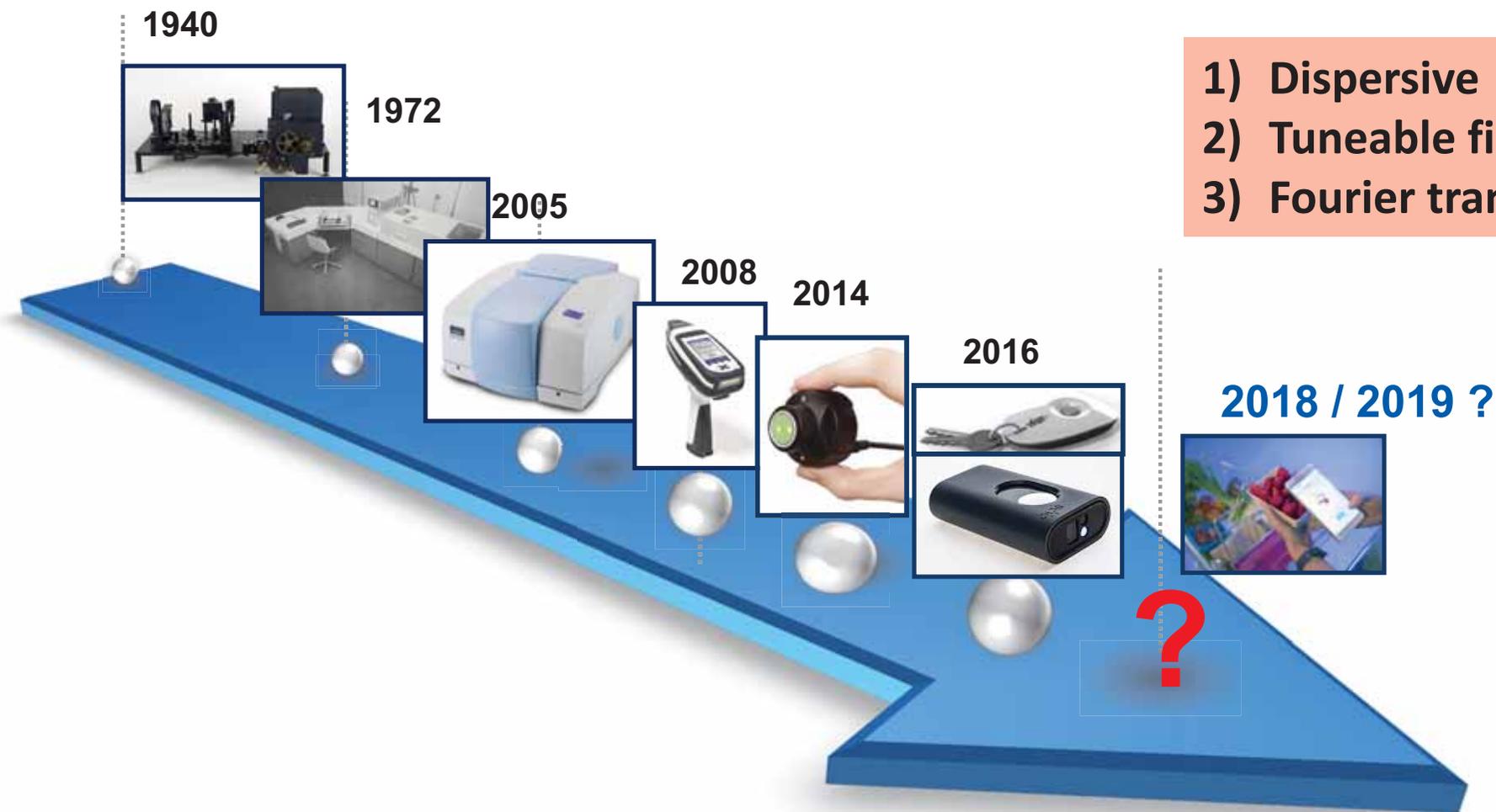


Imaging

- Fast
- Cheap
- Easy use



TREND IN ANALYTICAL CHEMISTRY

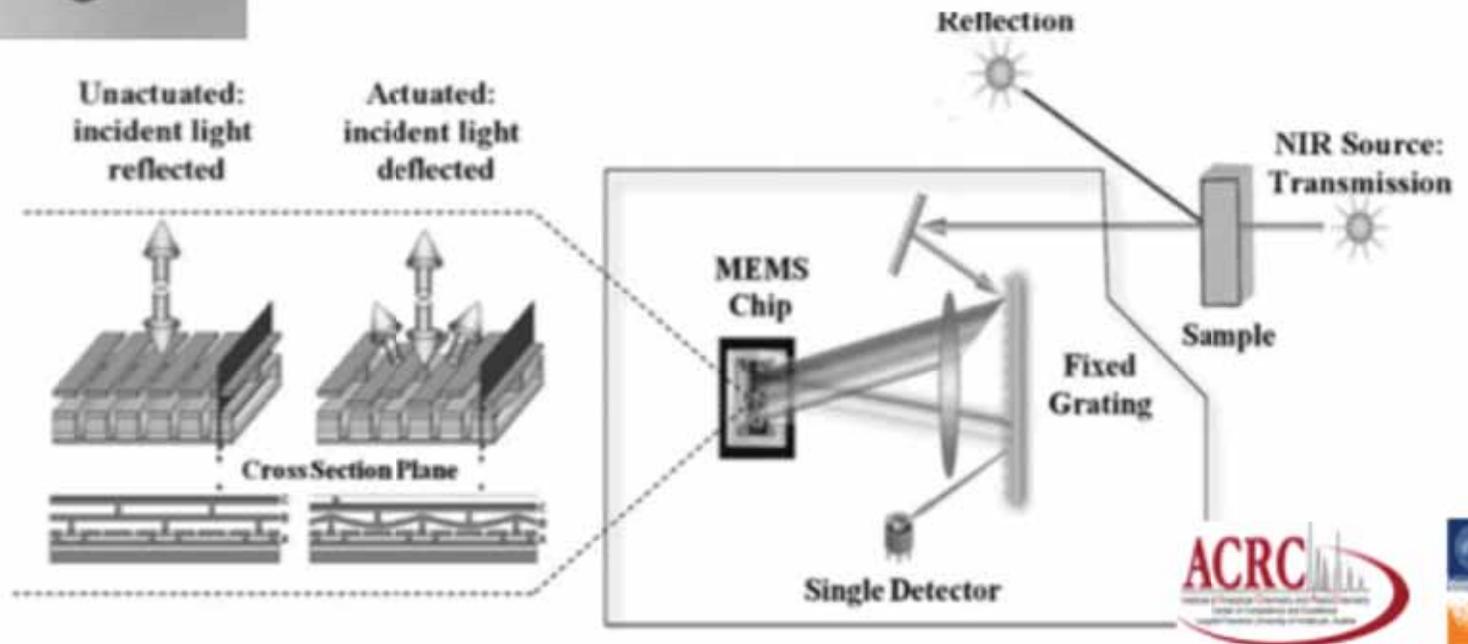
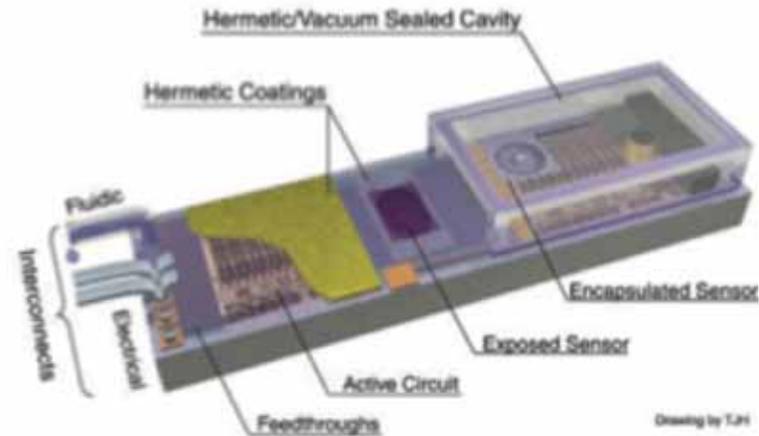


- 1) Dispersive
- 2) Tuneable filters
- 3) Fourier transform

2018 / 2019 ?

Miniaturisation

MEMS is an acronym for **micro-electro-mechanical Systems**



Miniaturisation

...developed by JDS Uniphase Corporation

- one of worlds smallest NIR spectrometer
- extremely fast analyses
- highly cost-effective
- USB powered

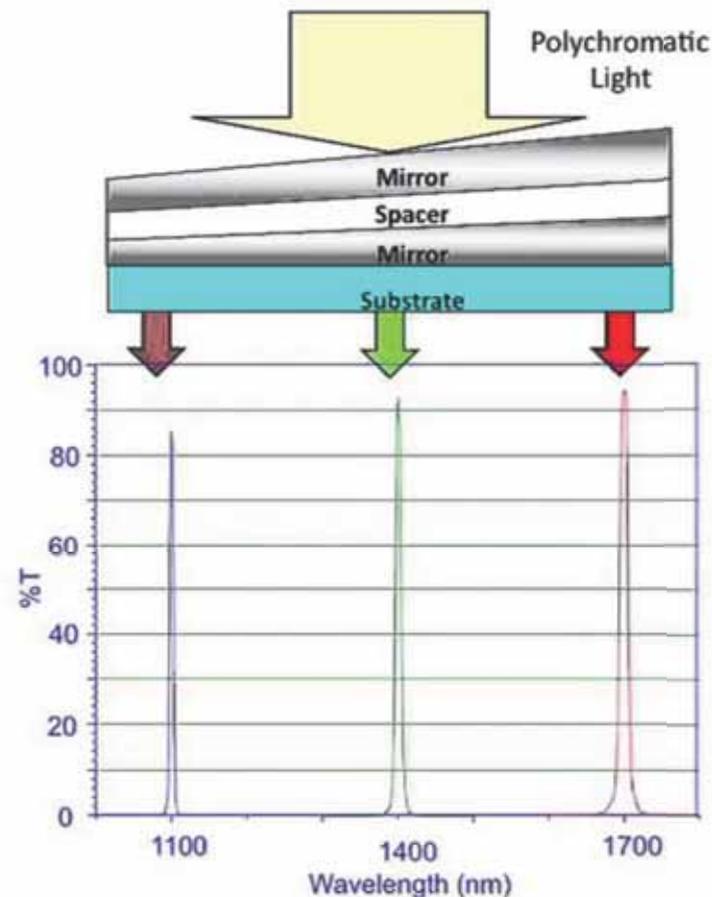


Miniaturisation

...developed by JDS Uniphase Corporation

Linear Variable Filter (LVF) Technology

- LVF is a one dimensional array of continuously varying bandpass filter
 - No moving parts
 - Completely passive device
- Coating materials are deposited with wedge in one axis.



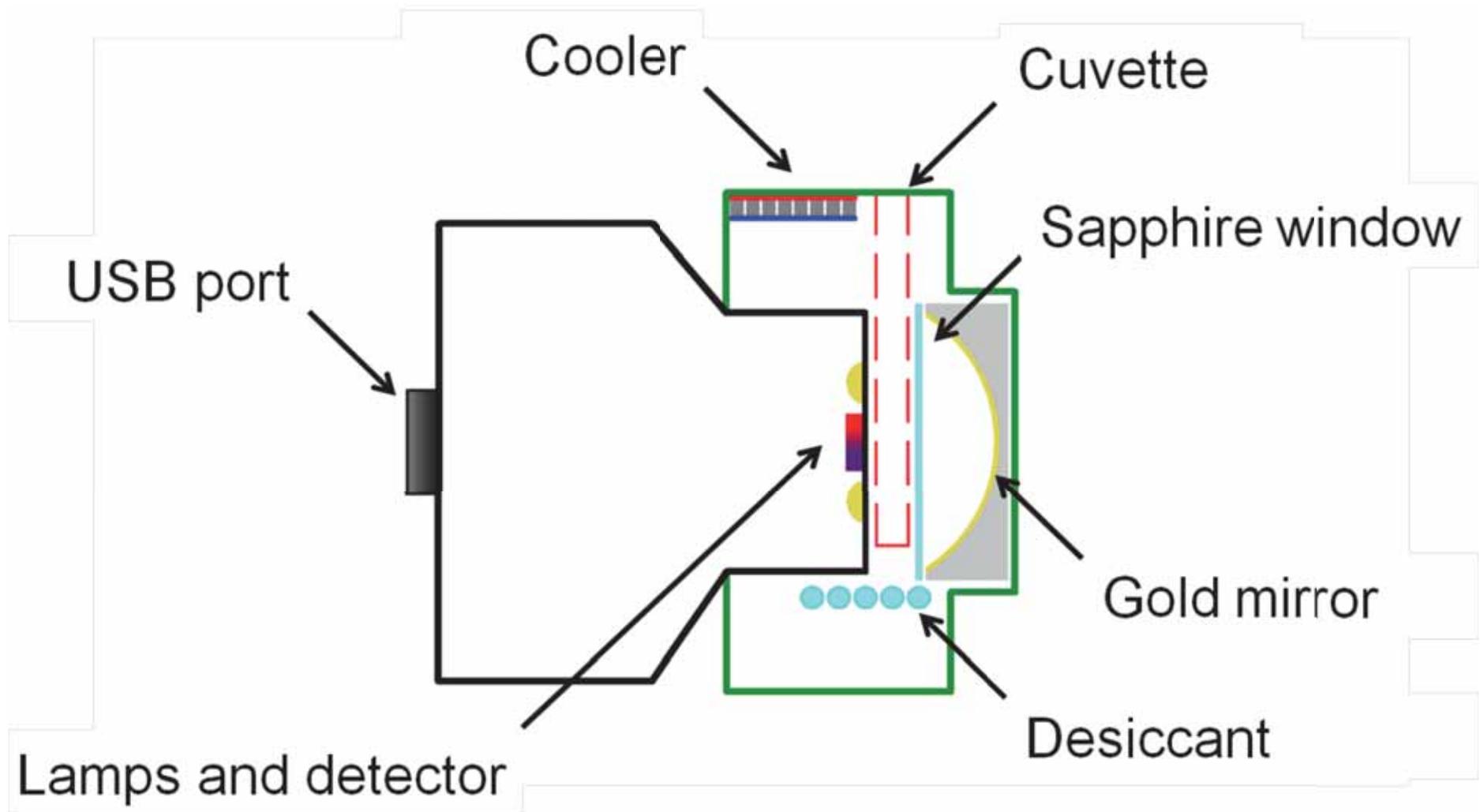
Miniaturisation

...a basic overview:

- milled from solid aluminium
- 1 mm cuvette holder
- spherical gold reflector
- thermoelectric cooler



Miniaturisation



Miniaturisation

EU directive 2009/28/EG:

“Enforced use of ecologically derived fuel in the transport sector“

- gasoline containing $\leq 5\%$ wt. EtOH
- gasoline containing $\leq 10\%$ wt. EtOH

A mobile quantification platform is desirable!

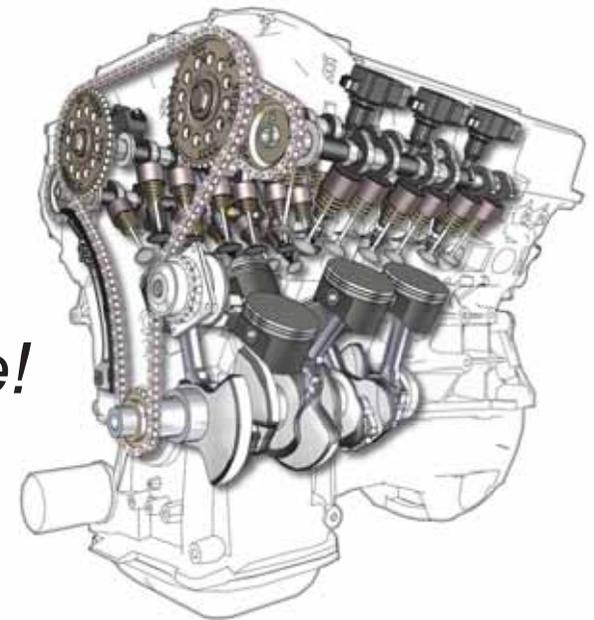
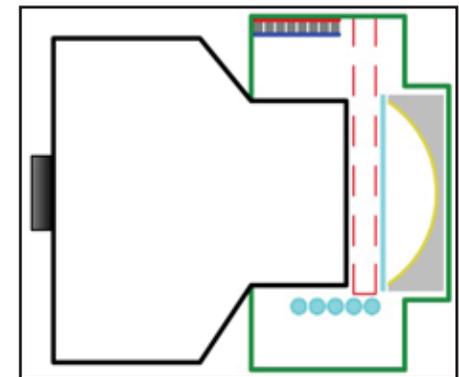
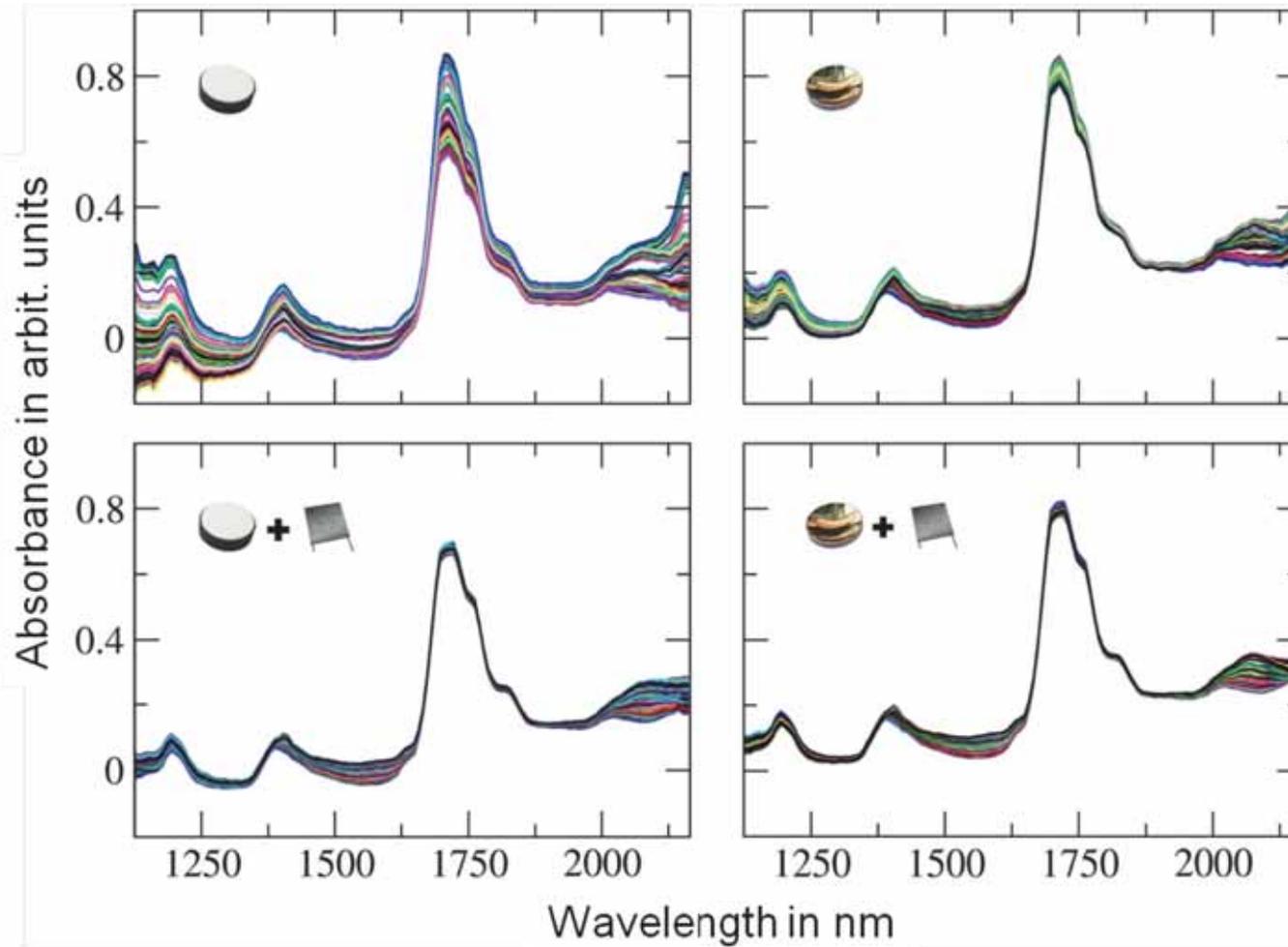


Image: Swaroopvarma via Wikipedia, 2006, Public Domain

Miniaturisation

NIR spectra of gasoline with up to 10% w/w ethanol



Lutz O.M.D., Bonn G.K., Rode B.M. & Huck C.W.
Analytica Chimica Acta 2014 826 61

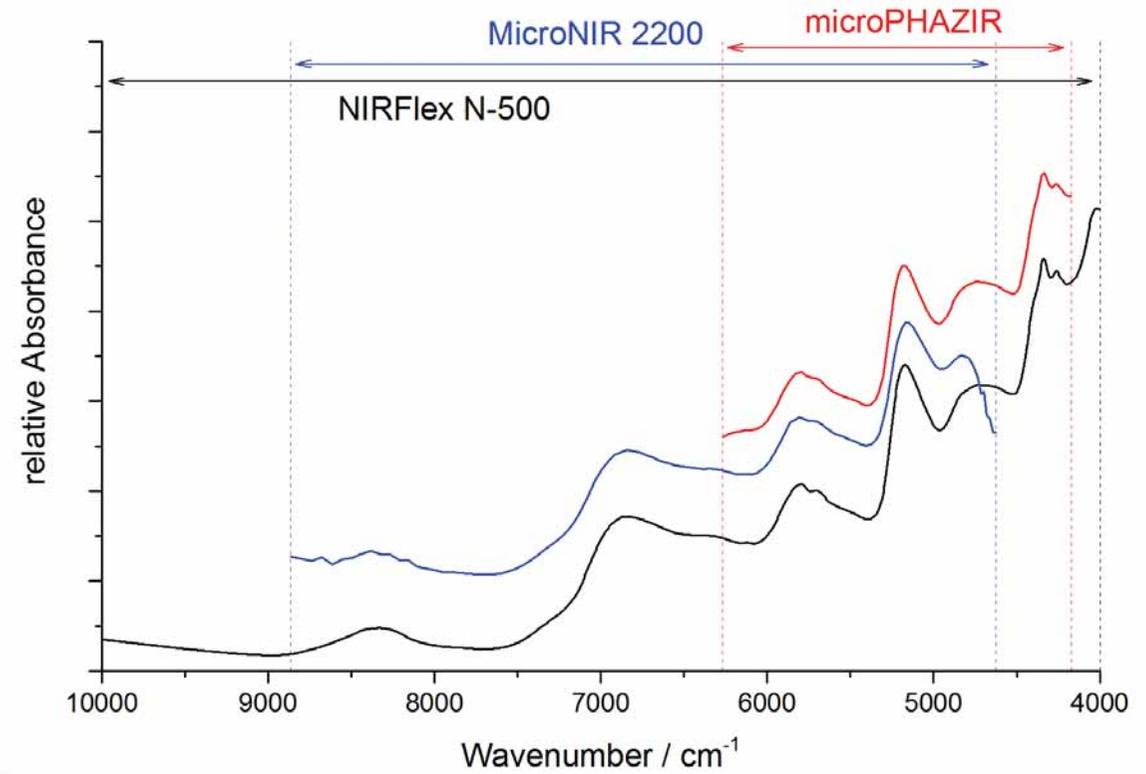
Miniaturisation

The PLS regression models of ethanol admixed gasoline

	R^2_{val}	SEP (%)	LOD (%)	LOQ (%)
	0.483	2.45	8.68	26.04
	0.993	0.35	0.93	2.79
	0.991	0.33	1.37	4.11
	0.997	0.21	0.68	2.04

benchtop vs. miniaturization

device	wavenumber range / cm^{-1}	resolution / cm^{-1}
NIRFlex N-500	10000 - 4000	8
microPHAZIR	6266 - 4173	\varnothing 21
MicroNIR 2200	8865 - 4626	\varnothing 33



NIRFlex N-500



microPHAZIR

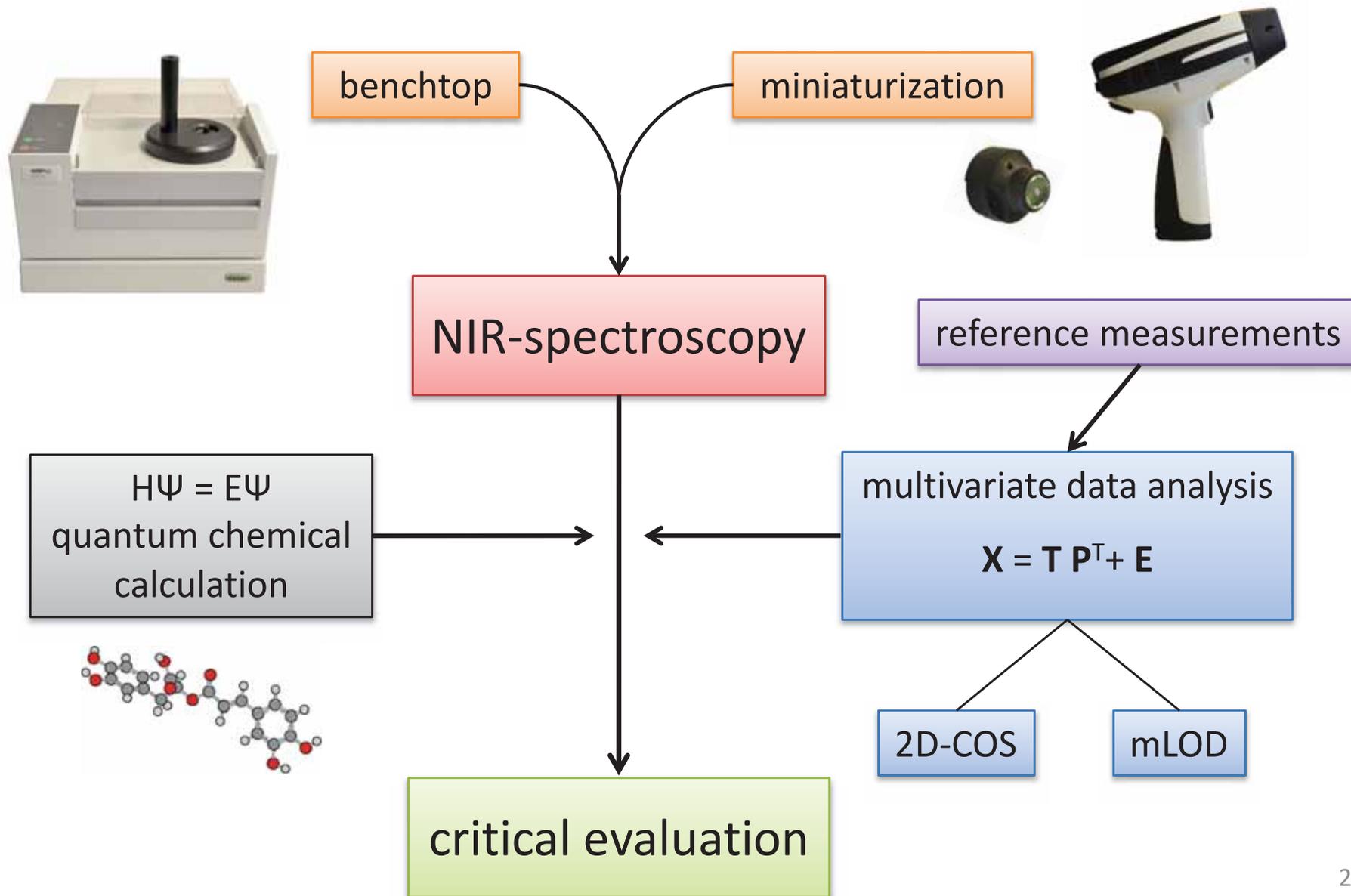


MicroNIR 2200

„MINIATURIZATION“

**Therefore,
new approaches for
more critical evaluation is needed!**

WORKFLOW



Miniaturized NIR Spectroscopy

Medicinal Plants

- Harvest time
- Fast Quality Control
- Provenience



Food

- Safety, fraud
- Fast Quality Control
- Provenience



Bioanalysis

- Cancer Research



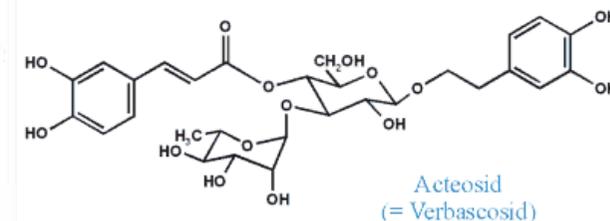
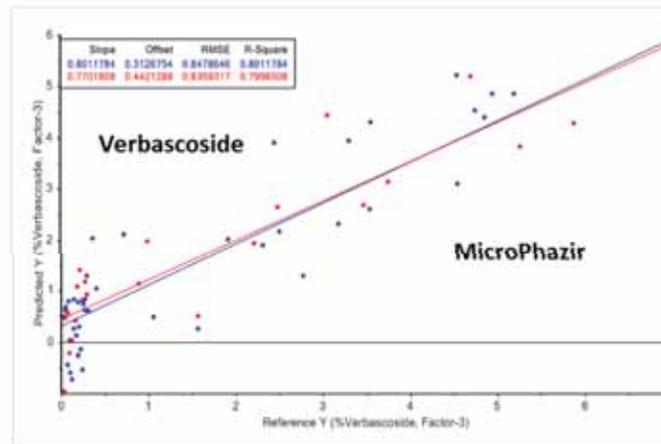
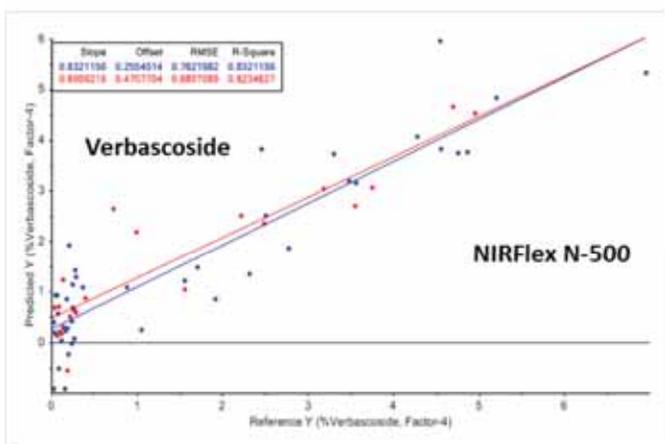
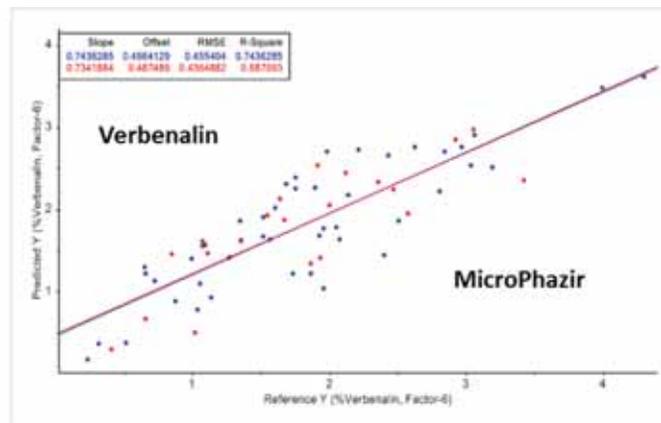
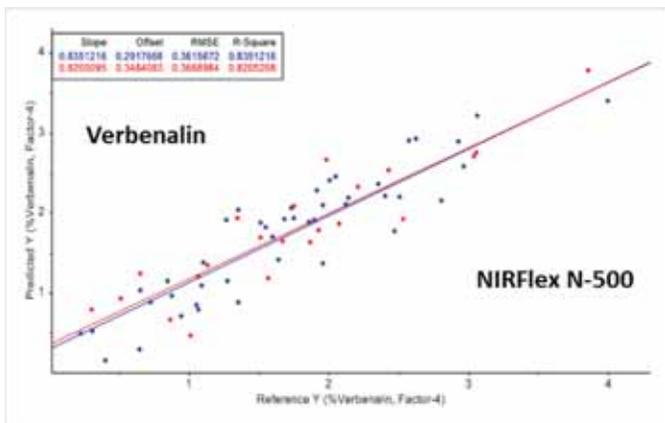
Material analysis

Huck C.W. Advances of Vibrational Spectroscopic Technologies in Life Sciences. *Molecules*, 22, 278 (2017)

Türker-Kaya S., Huck C.W. A review of mid-infrared and near-infrared imaging: principles, concepts and applications in plant tissue analysis. *Molecules*, 22, 168 (2017)

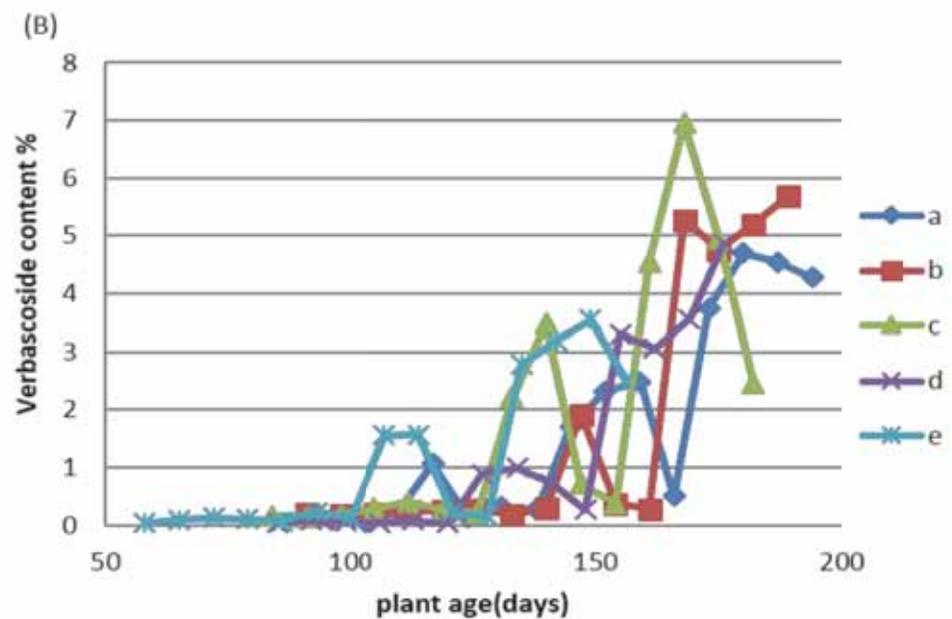
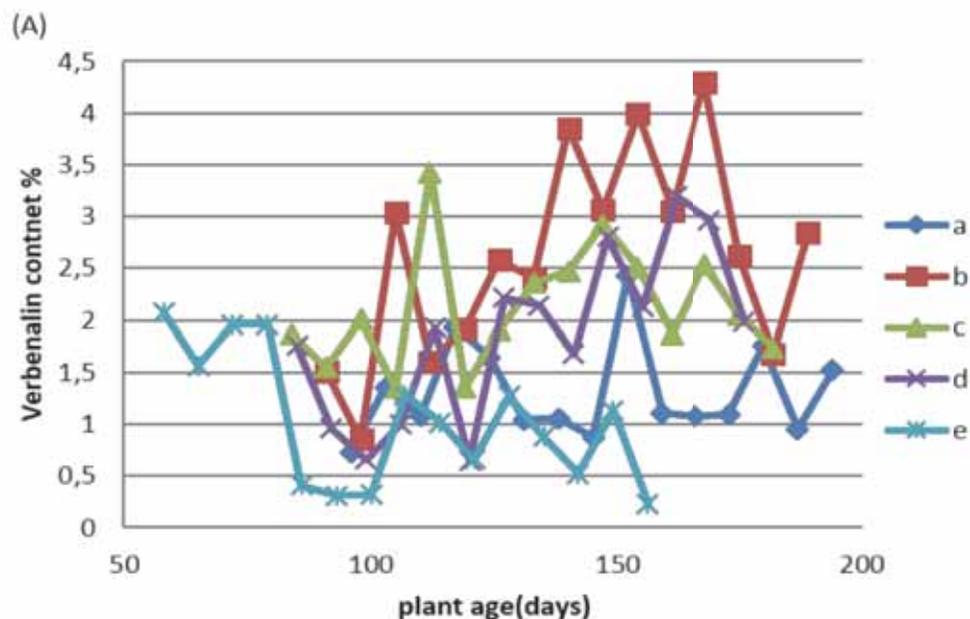
Huck C.W. Selected latest applications of molecular spectroscopy in natural product analysis. *Phytochem. Lett.*, <http://dx.doi.org/10.1016/j.phytol.2016.12.028> (2016)

In-field Determination of Optimum Harvest Time of MEDICINAL PLANTS: *Verbena officinalis*

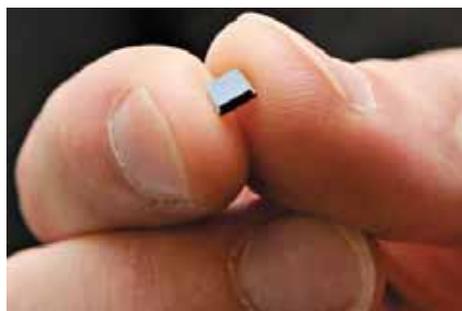
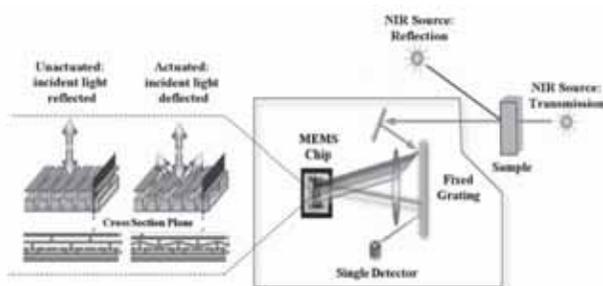


Schönbichler S.A., Bittner L.K.H., Pallua J.D., Popp M., Abel G., Bonn G.K., Huck C.W.
 Simultaneous Quantification of Verbenalin and Verbascoside in *Verbena officinalis* by ATR-IR and NIR Spectroscopy
 J. Pharm. Biomed. Anal. 84, 97 - 102 (2013)

In-field Determination of Optimum Harvest Time of MEDICINAL PLANTS: *Verbena officinalis*



Graphical representation of the verbenalin (a) and verbascoside (b) content related to the dried plant material during flowering. Letter a-e stand for the five different seeding dates in March and April.



"Phytovalley®-Tirol"



adsj
Phytoscreening,
Bioanalysis

universität innsbruck
CCB
Chemistry & Pharmacy

universität innsbruck
Dep. of Botany
Botanical Garden

MCI
Management
Center Innsbruck
Biotechnology

Bionorica[®] research
Phytoneering,
GLP-Laboratories

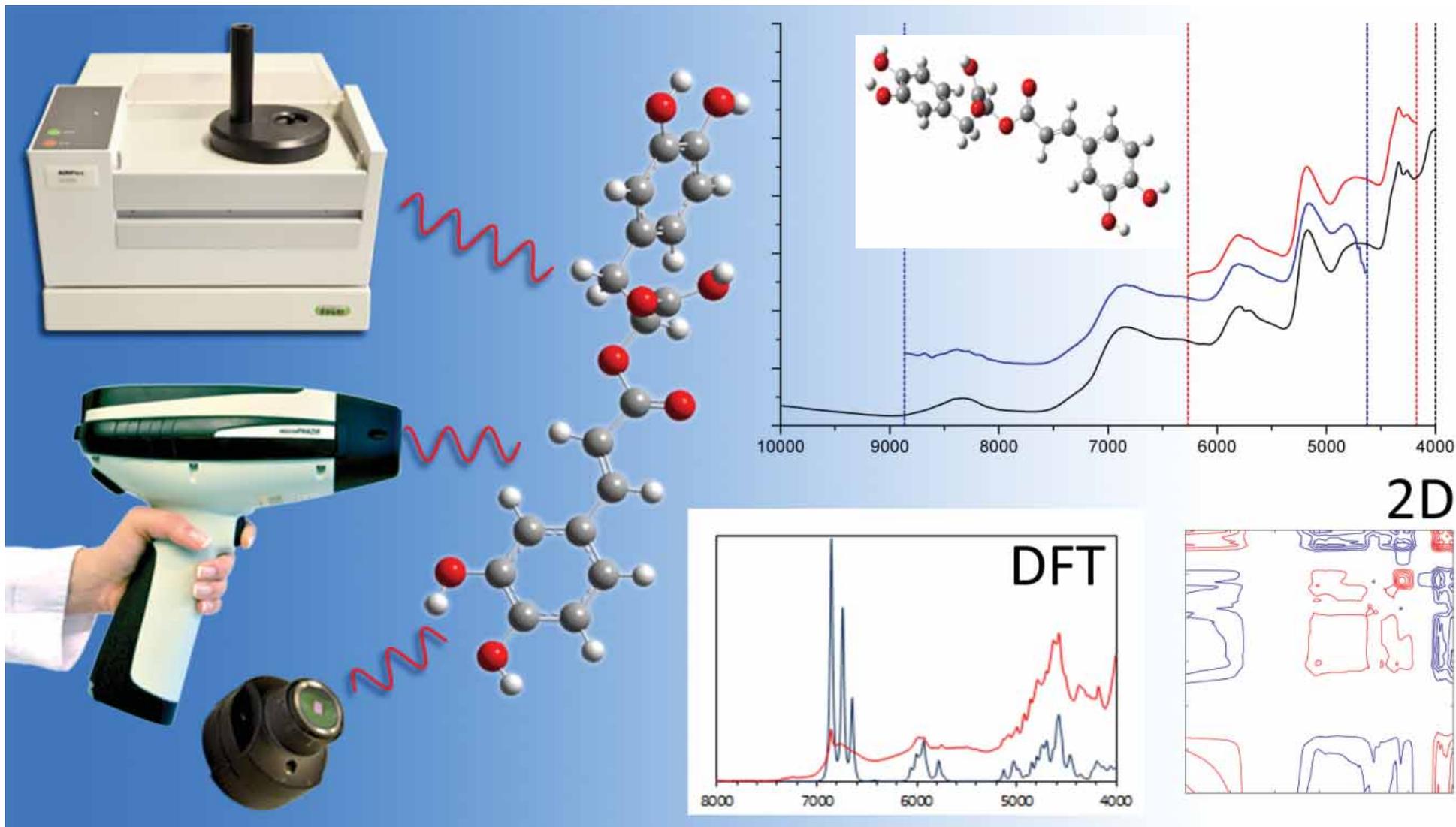
Michael Popp Research Institute
for New Phyto-Entities,
University of Innsbruck

Michael A. Popp
nature science institute

tirol kliniken
Biobank and
clinical samples

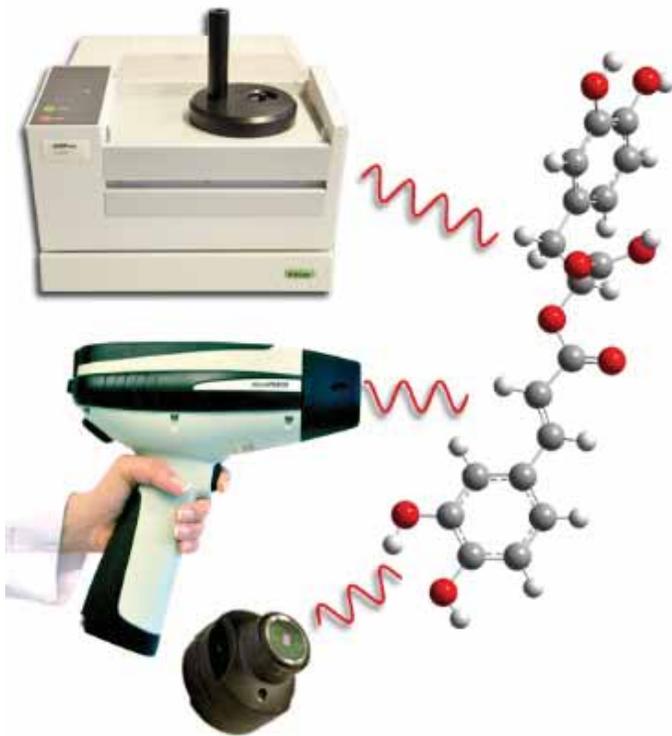
CURA
Development
Phytocosmetics
Marketing

Fast Quality Control of MEDICINAL PLANTS: Rosmarini folium



2D CORRELATION SPECTROSCOPY „the eye of the spectrometer“

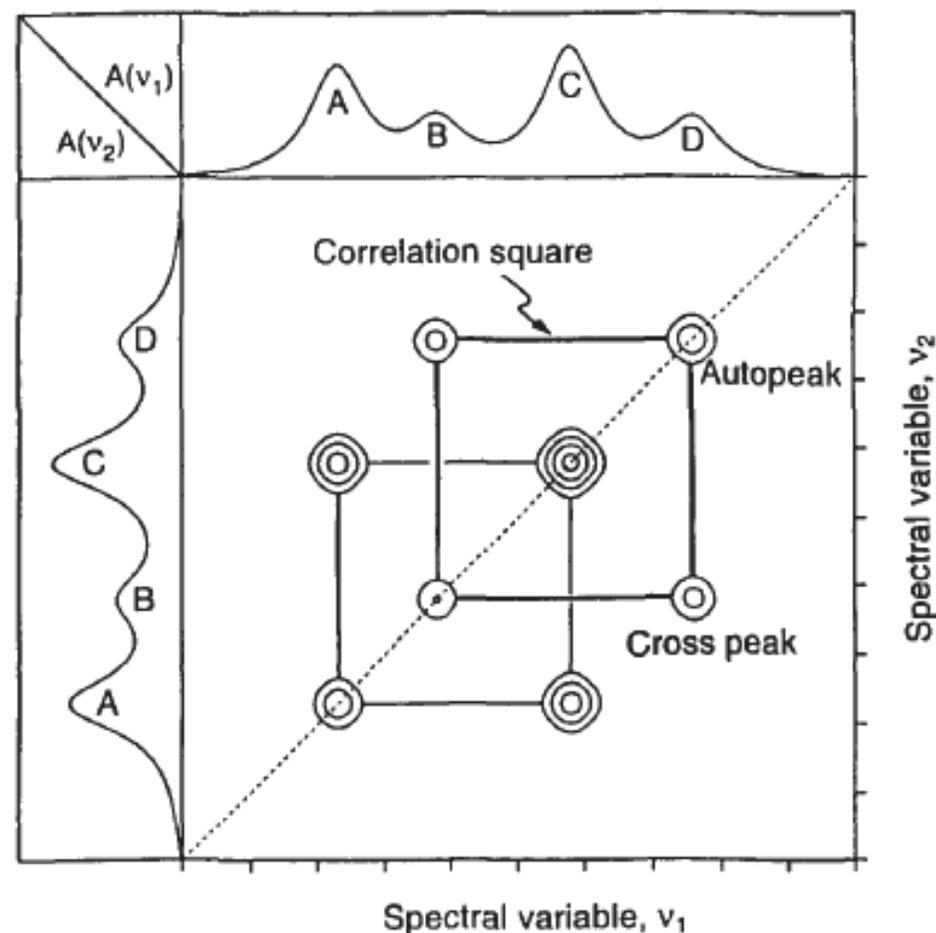
2D-COS used as „the eye of the spectrometer“
Visual perception



Example: rosmarinic acid content in powdered rosemary leaves (60 samples). 32

2D CORRELATION SPECTROSCOPY

What we can see is the **dynamic variation** of different spectra due to an external perturbation (e.g. temperature, different concentration, etc.) as well as the **correlation** of the variation at two different wavenumbers.



2D CORRELATION SPECTROSCOPY

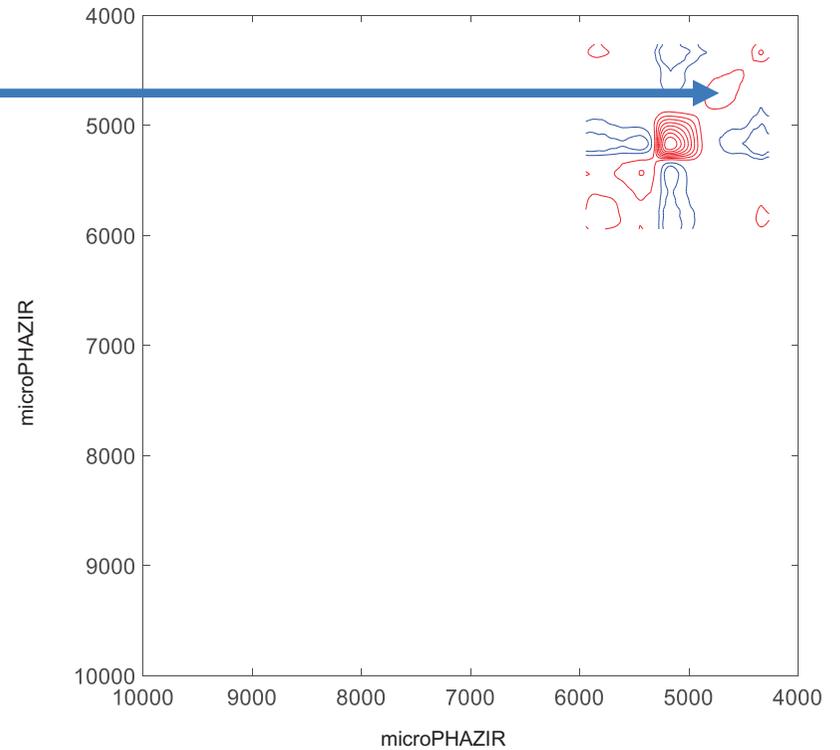
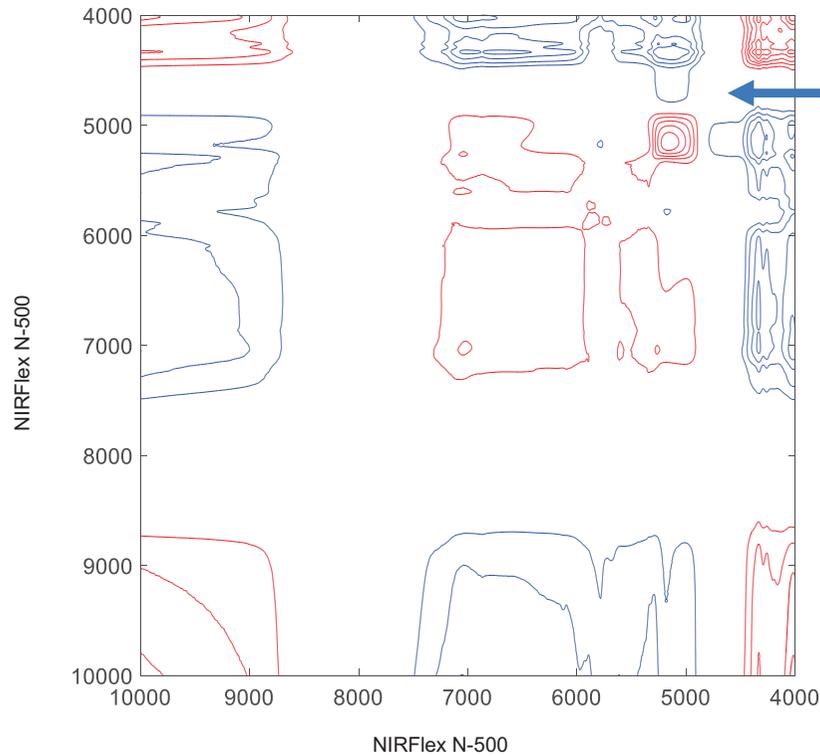
„the eye of the spectrometer“

60 rosemary samples

Benchtop
NIRFlex N-500



Miniaturized „microPhazir“



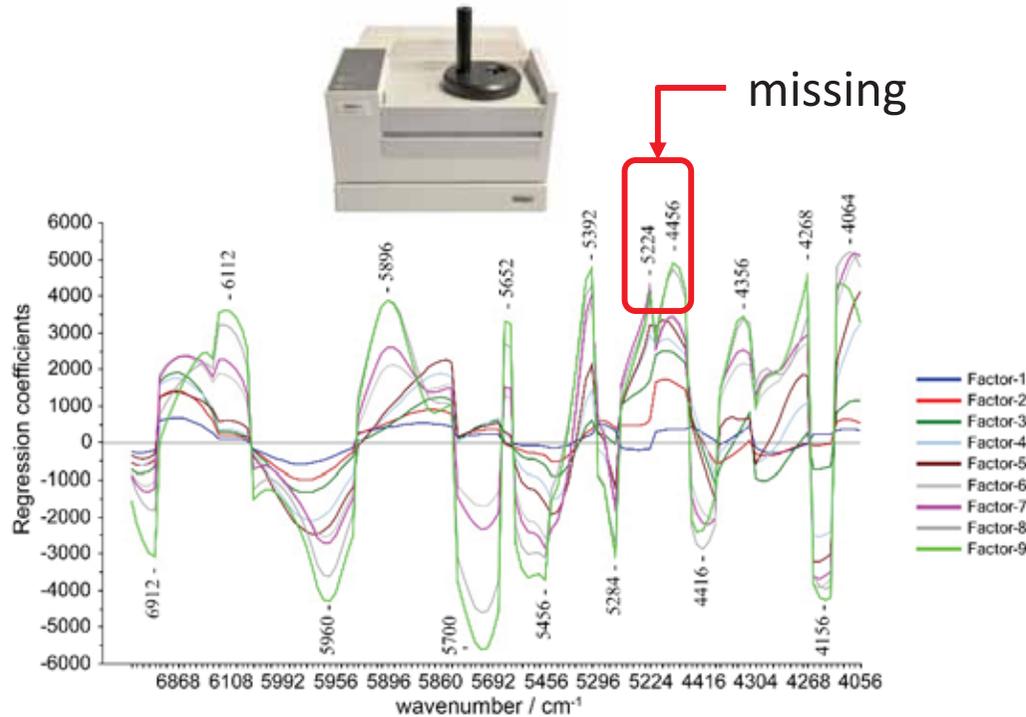
autopeak appearance in the visual perception of the microPHAZIR!

2D CORRELATION SPECTROSCOPY

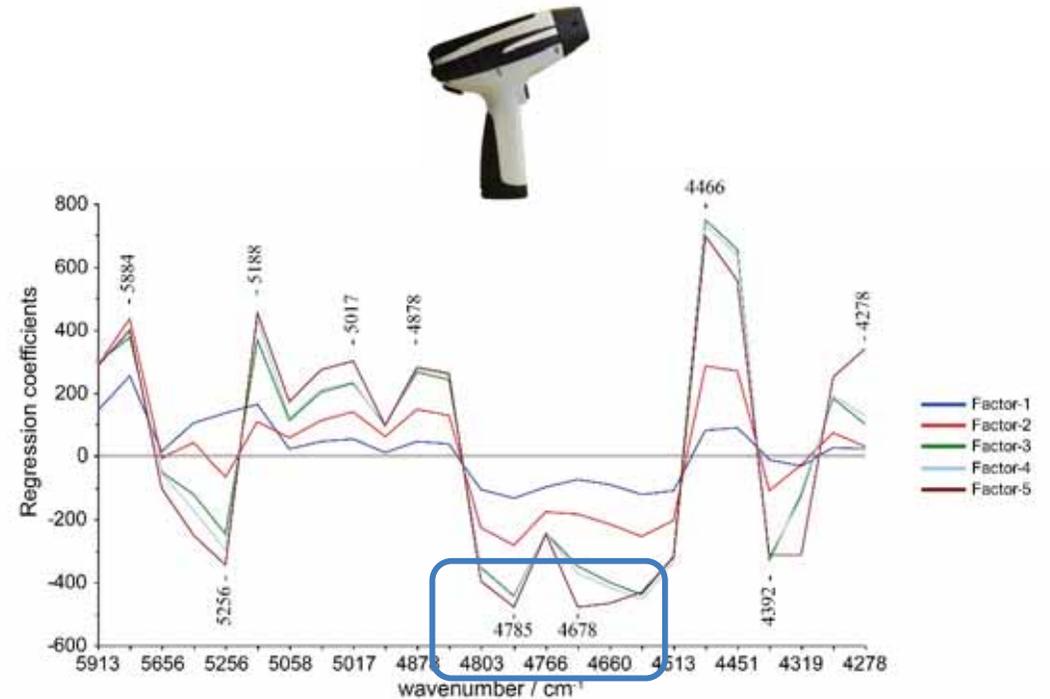
„the eye of the spectrometer“

60 rosemary samples

Benchtop „NIRFlex N-500“



Miniaturized „microPhazir“



This region is **crucial** for the PLS-regression of **microPhazir** and **unnecessary** for **NIRFlex N-500!**

2D CORRELATION SPECTROSCOPY

„the eye of the spectrometer“

60 rosemary samples

spectrometer	NIRFlex N-500		microPHAZIR		MicroNIR 2200		
samples	60		60		60		
outliers	6		8		4		
range / %	1.138 – 2.425		1.138 – 2.425		1.138 – 2.425		
validation method	CV	TSV	CV	TSV	CV	TSV	
R ²	0.91	0.91	0.73	0.73	0.84	0.85	
SECV / %	SEP / %	0.072	0.069	0.12	0.11	0.091	0.11
SECV/SEC	SEP/SEC	1.46	1.43	1.28	1.24	1.55	2.09
factors	8	8	5	5	11	12	
RPD	3.27	3.41	1.88	2.06	2.46	2.14	



THYMOL – NIR ANALYTICS & QUANTUM CHEMICAL CALCULATIONS

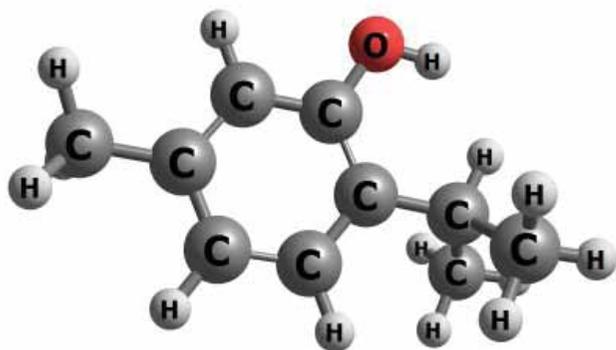


Fig. The optimized (DFT-B3LYP/SNST) molecular structure of thymol.

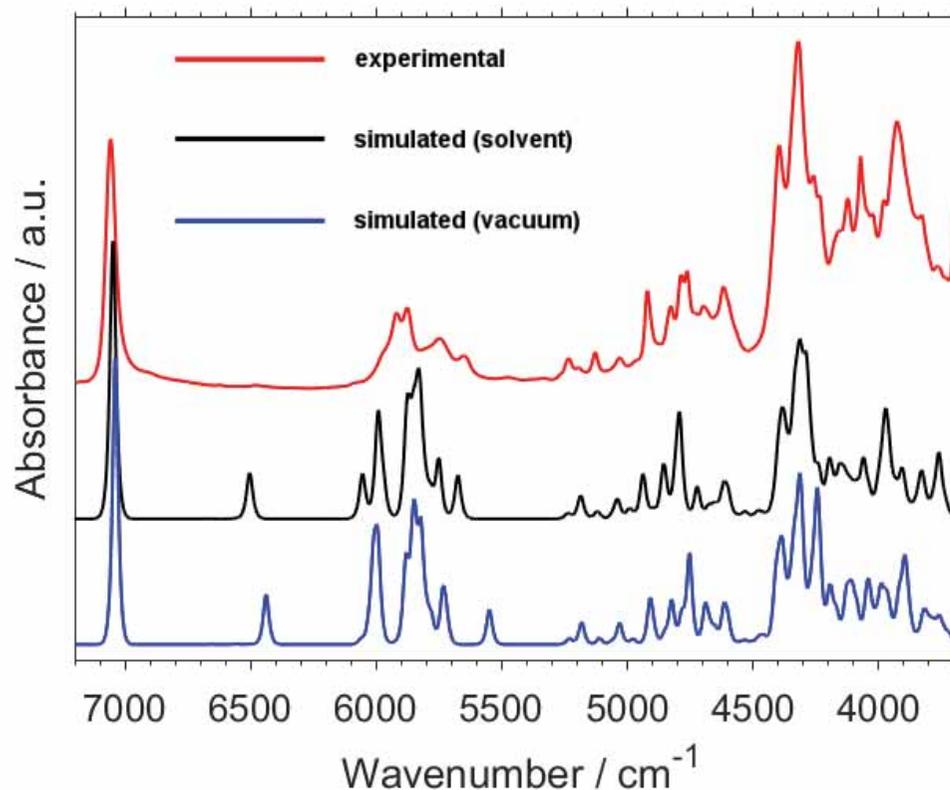


Fig. Impact of implicit solvation model (SCRF/CPCM- CCl_4) on the simulated (DVPT2//DFT-B3LYP/SNST+CPCM) NIR spectrum of thymol. The modeled spectral outlines compared with the experimental spectrum of thymol in solution ($100 \text{ mg mL}^{-1} \text{ CCl}_4$).

THYMOL – NIR ANALYTICS & QUANTUM CHEMICAL CALCULATIONS

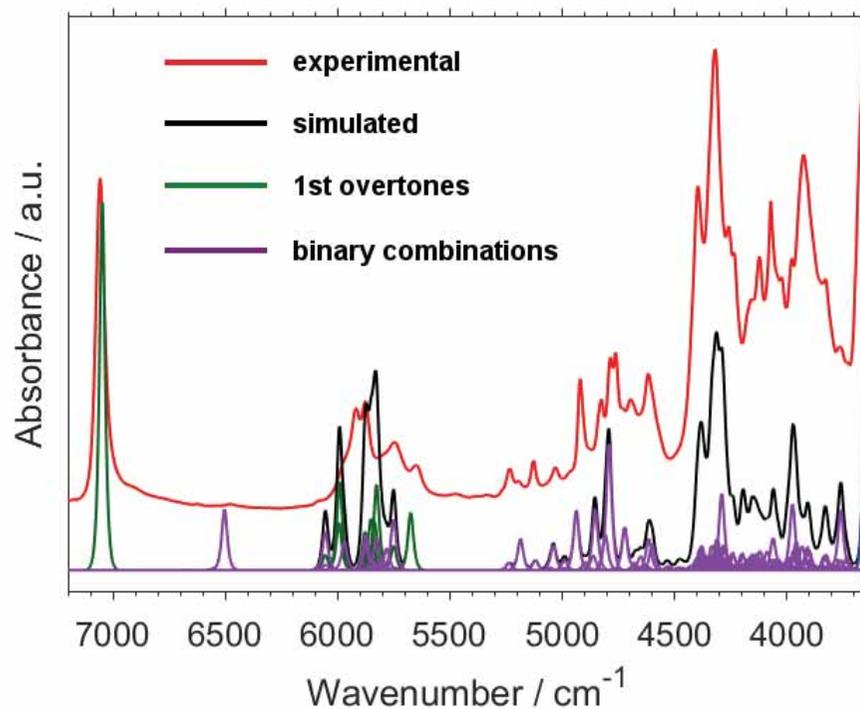


Fig. The contributions of the first overtone and binary combination bands into the NIR spectral envelope of thymol in solution phase (100 mg mL⁻¹ CCl₄) as obtained through QM spectra simulation (DVPT2//DFT-B3LYP/SNST+CPCM).

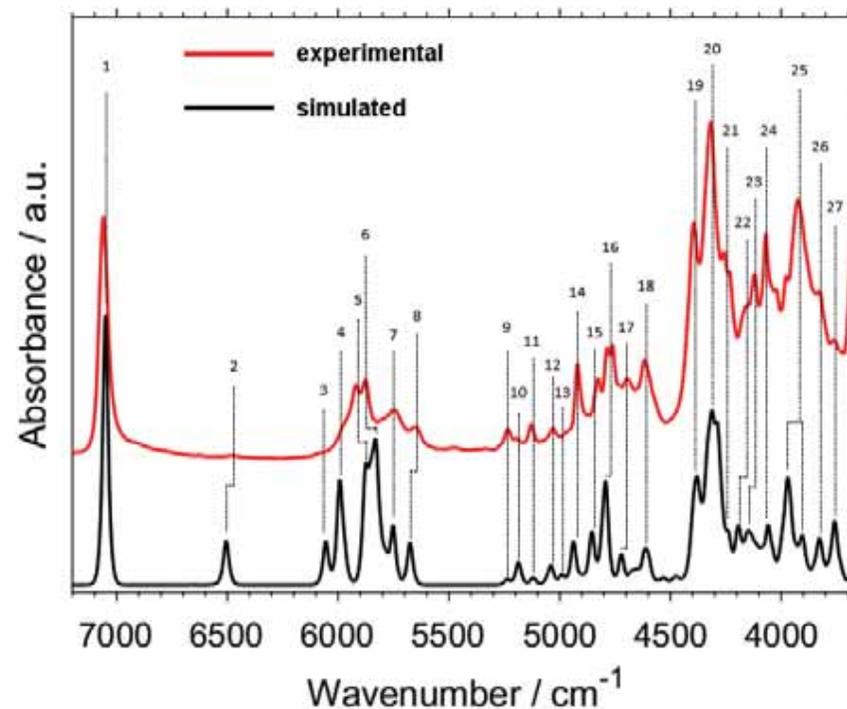


Fig. The most probable band assignments in the experimental NIR spectrum of thymol in solution phase (100 mg mL⁻¹ CCl₄) based on comparative cross-analysis with the QM simulated NIR spectrum (DVPT2//DFT-B3LYP/SNST+CPCM).

THYMOL – NIR ANALYTICS & QUANTUM CHEMICAL CALCULATIONS

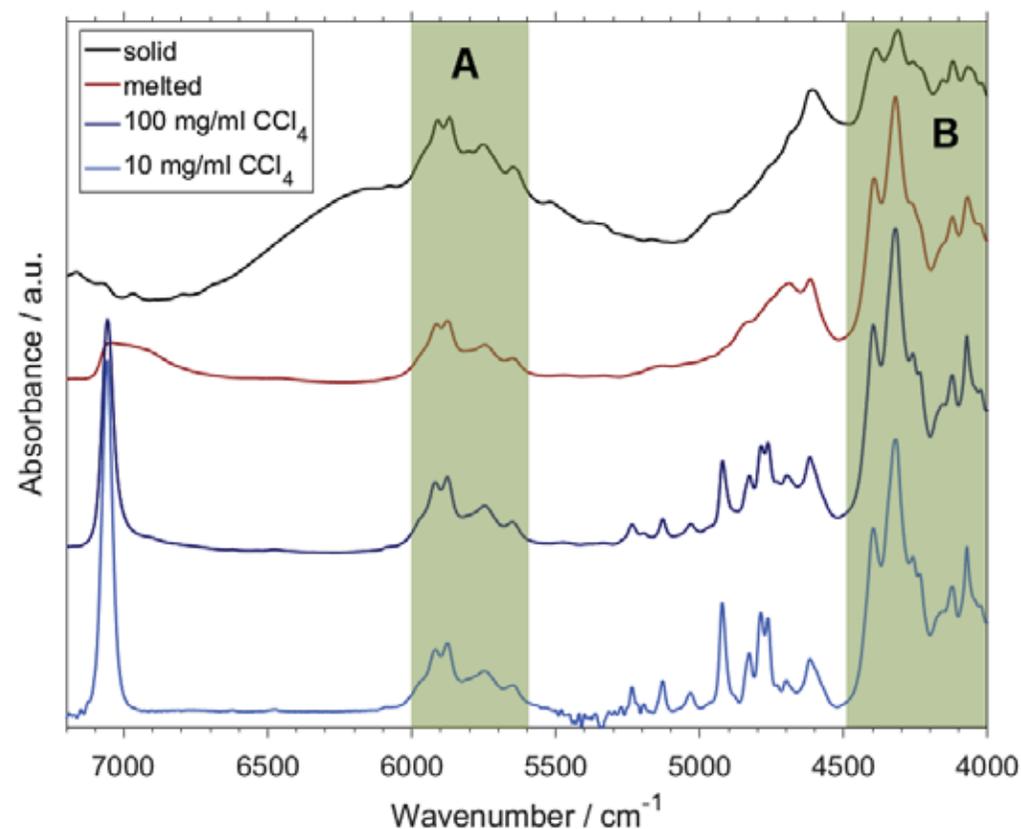
Thymol – NIRS Analytics



An **in-depth analysis** of the origin of NIR spectrum of thymol and its **relationship with PLSR** for thymol content determination.

There are two spectral regions clearly independent of the sample state or concentration which were also crucial for the PLSR model

A: 6000-5600 cm^{-1} and B: 4490-4000 cm^{-1}



THYMOL – NIR ANALYTICS & QUANTUM CHEMICAL CALCULATIONS

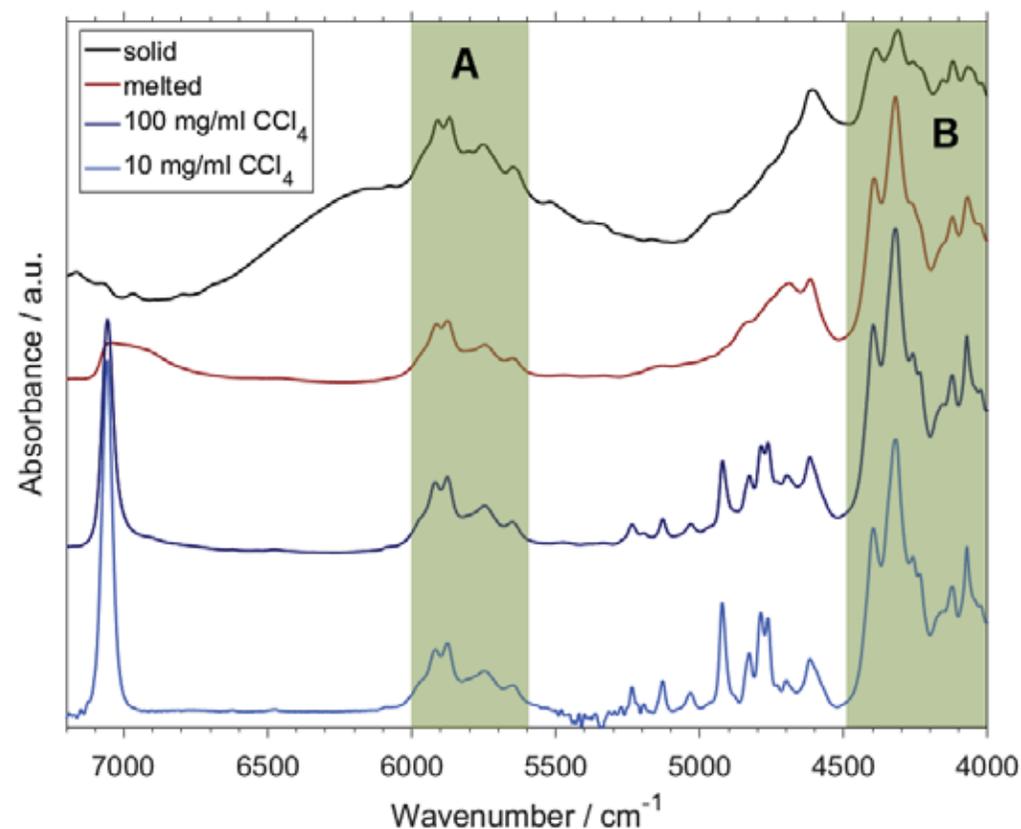
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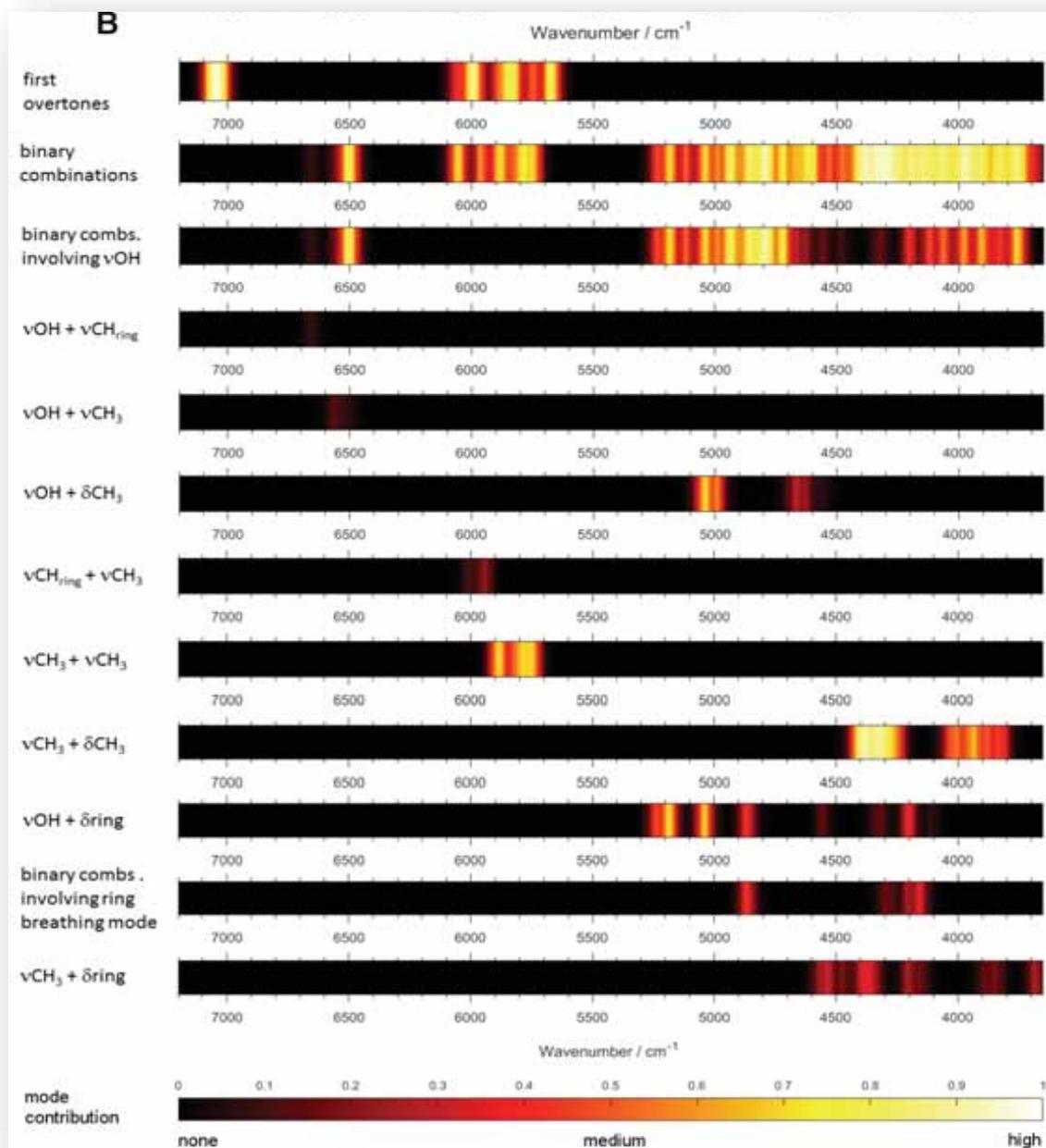
There are two spectral regions clearly independent of the sample state or concentration which were also crucial for the PLSR model

A: 6000-5600 cm^{-1} and B: 4490-4000 cm^{-1}



THYMOL – NIR ANALYTICS & QUANTUM CHEMICAL CALCULATIONS

The analysis of mode contribution into NIR spectrum of thymol (solution; 100 mg mL⁻¹ CCl₄) based on the simulated data (DVPT2//DFT-B3LYP/SNST+CPCM).
B: contributions of selected modes as described on the figure.

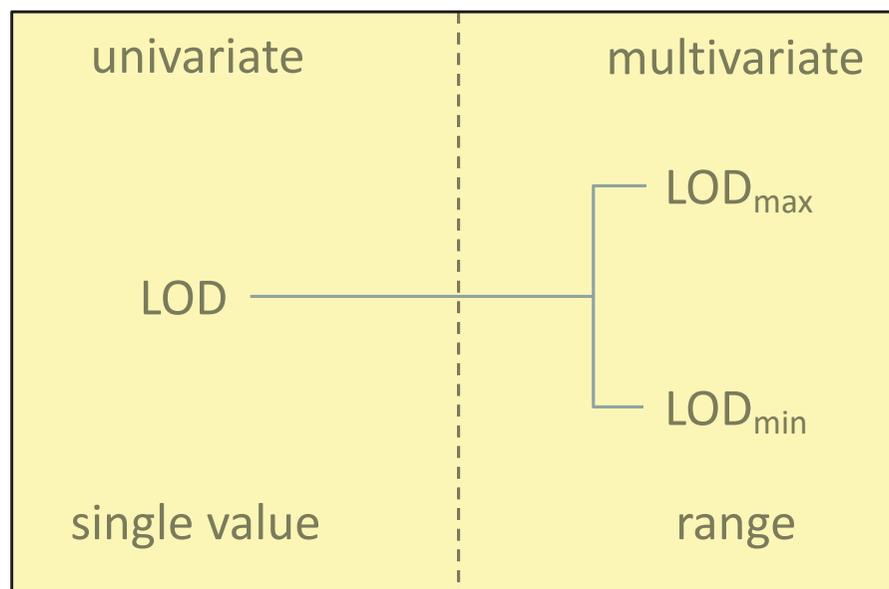


Krzysztof B. Beć, Justyna Grabska, Christian G. Kirchler, Christian W. Huck.
 NIR spectra simulation of thymol for better understanding of the spectra forming factors, phase and concentration effects and PLS regression features
 Journal of Molecular Liquids, 268, 895 - 902 (2018)

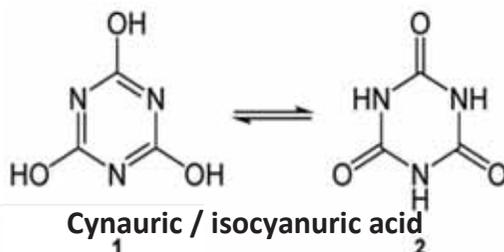
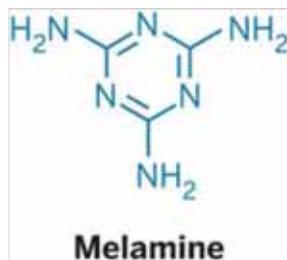
multivariate approach for calculating the LOD

Problem: instrumental signals are not specific for a particular analyte

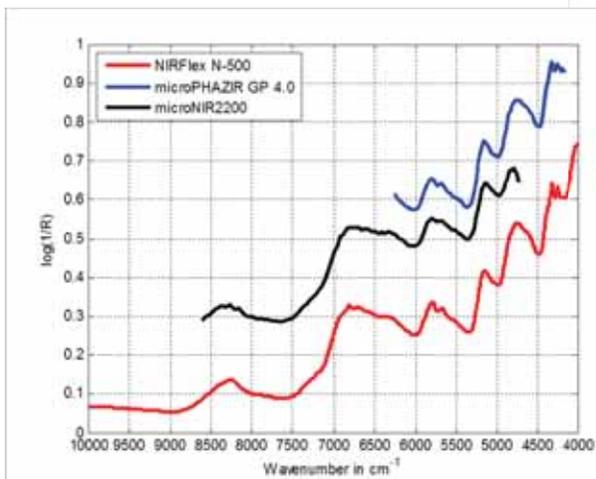
Instead of a single LOD value, an **LOD interval** is calculated which depends on the **variability of the background** composition of the calibration samples



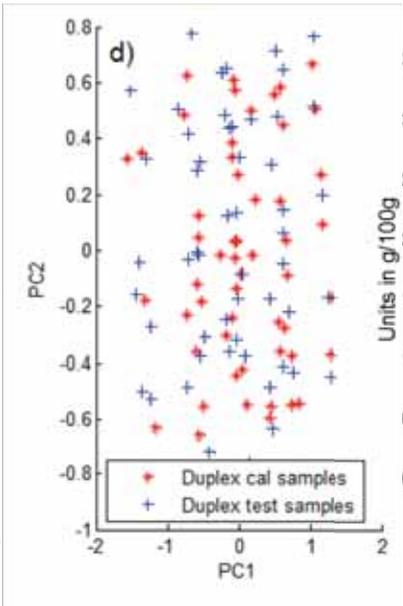
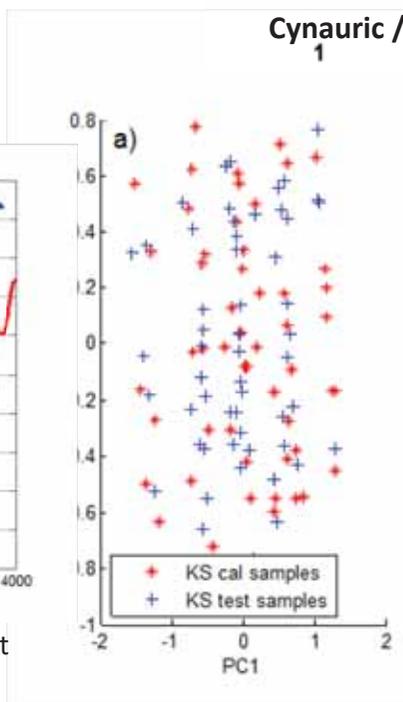
Comparison of sensitivity to artificial spectral errors and multivariate LOD in NIR spectroscopy: Determining the performance of miniaturizations on melamine in milk powder



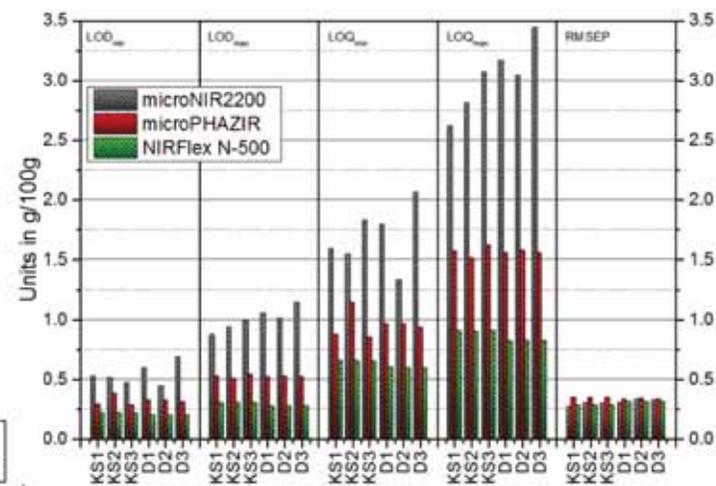
LOD min%	
Benchtop	0.20
Micro Phazir	0.28
microNIR2200	0.44



Accessible spectral ranges of the different devices in comparison



Kennard Stone (a) and Duplex (d) algorithm selection in comparison for the NIRFlex N-500



LOD, LOQ and RMSEP values of the used spectrometers and constructed models in comparison. KS indicating Kennard Stone selection and D the Duplex selection

Evaluation of the performance of three hand-held near-infrared spectrometer through investigation of total antioxidant capacity in gluten-free grains

Verena Wiedemair, Christian W. Huck*

Institute of Analytical Chemistry and Radiochemistry, CCB – Center for Chemistry and Biomedicine, University of Innsbruck, Innrain 80/82, 6020 Innsbruck, Austria

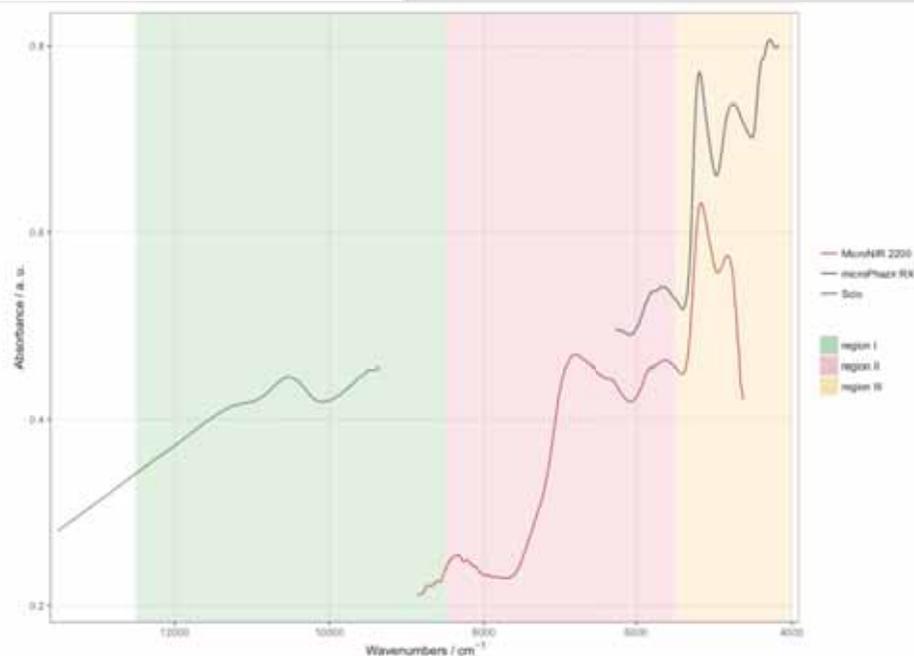


Fig. 1. Averaged spectrum of all samples recorded with SCiO (green), MicroNIR 2200 (red) and microPhazir RX (blue). The three regions of NIR are indicated in the background.

RPD and multivariate limit of detection (mLOD) and quantification (mLOQ) for all established models. All limits of detection and quantification are given in mgGAE/g.

Device	State of the grains	RPD	LOD _{min}	LOD _{max}	LOQ _{min}	LOQ _{max}
SCiO	Non-milled	3.93	1.7827	3.0314	5.3480	9.0943
	Milled	2.63	1.7029	2.6173	5.1088	7.8519
MicroNIR 2200	Non-milled	4.71	1.2365	1.8338	3.7095	5.5015
	Milled	3.29	1.6548	3.2294	4.9643	9.6881
microPhazir RX	Non-milled	4.61	1.3958	2.3862	4.1875	7.1587
	Milled	2.54	1.4773	2.5487	4.4318	7.6460

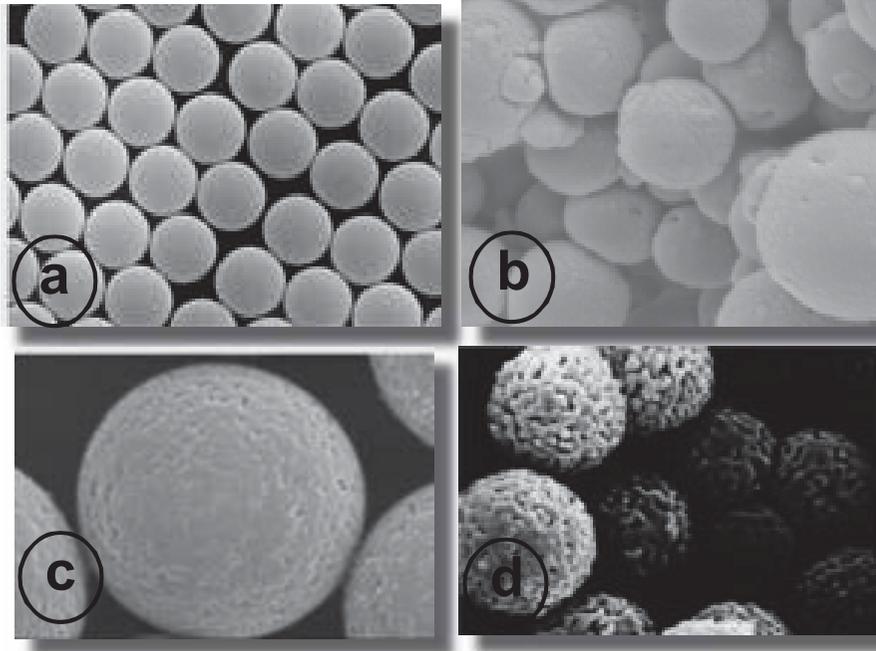
Statistical parameters of the established PLS-R models. CV denotes cross-validated models, whereas TV refers to test set validated regressions.

Device	State of the grains	R ² (CV)	RMSECV/mgGAE/g	Bias (CV)	R ² (TV)	RMSEP / mgGAE/g	Bias (TV)
SCiO	Non-milled	0.8234	1.9753	0.0116	0.8952	1.2353	- 0.2890
	Milled	0.8240	2.0823	0.0334	0.8494	1.8572	- 0.3235
MicroNIR 2200	Non-milled	0.9513	1.1089	- 0.0012	0.9519	1.0214	- 0.0343
	Milled	0.9098	1.4647	0.0468	0.9186	1.4390	- 0.2304
microPhazir RX	Non-milled	0.9213	1.4595	0.0084	0.8926	1.3716	0.8504
	Milled	0.9125	1.3501	0.0177	0.8913	1.7840	- 0.4993

Physicochemical Properties of Silica Materials

Physical Properties

- Particle size distribution
- Porosity, Pore volume
- Specific surface area



ELMI-pictures of silica particles with different porosity:

a, non-porous (1000 x); b, 60 Å (5000 x); c, 300 Å (6000 x); d, 1000 Å (10000 x)

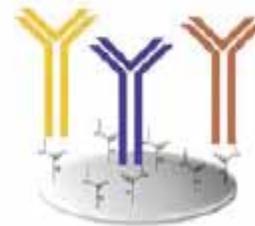
Chemical Properties



Hydrophobic



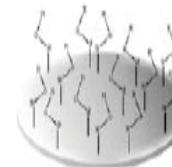
Metal Ion



Antibody –
Antigen



Anionic



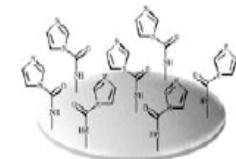
Hydrophilic



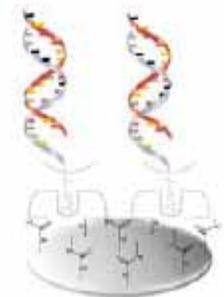
Receptor –
Ligand



Cationic

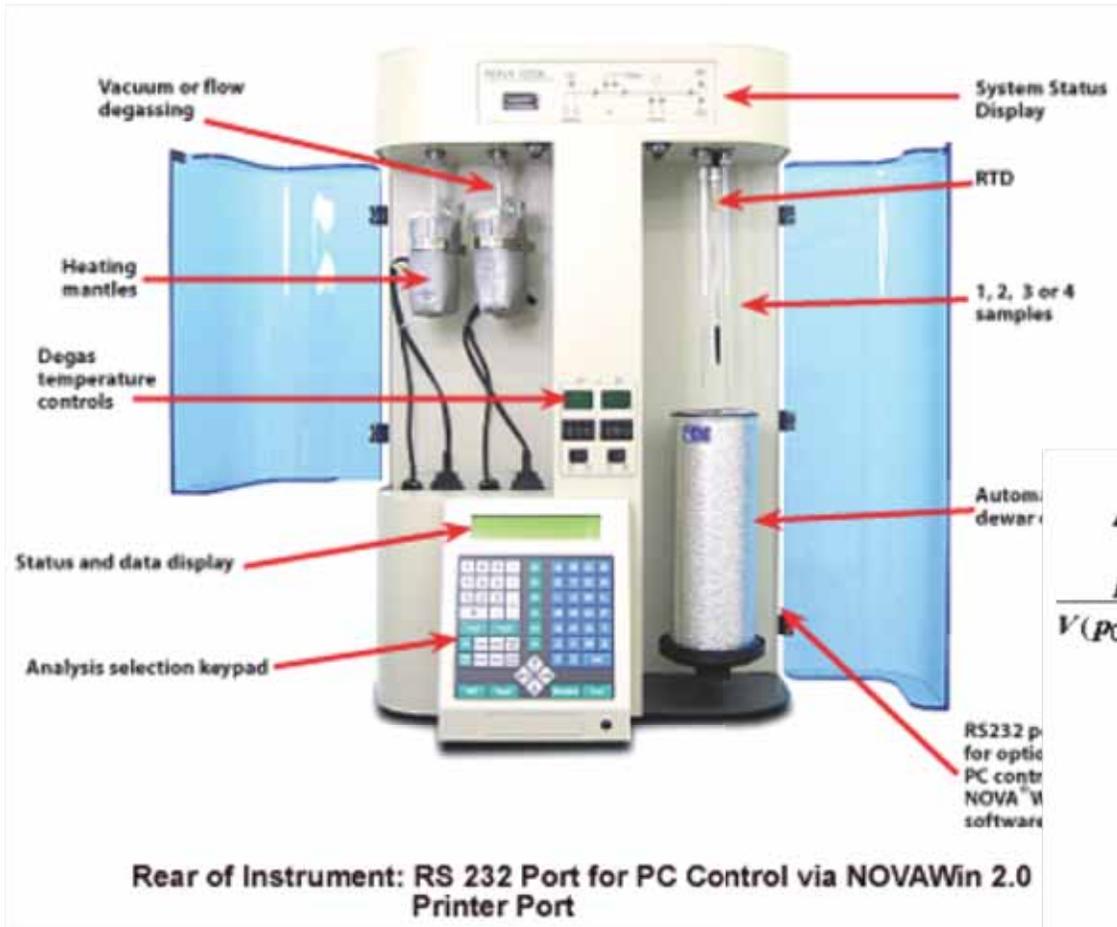


Activated
Surface



DNA –
Protein

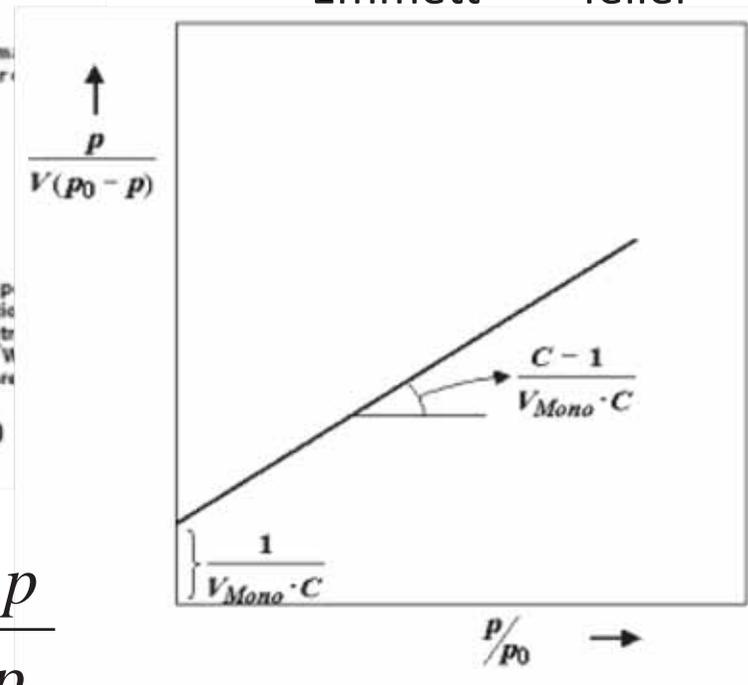
Specific Surface Area – BET (Brunauer Emmett Teller)



Emmett

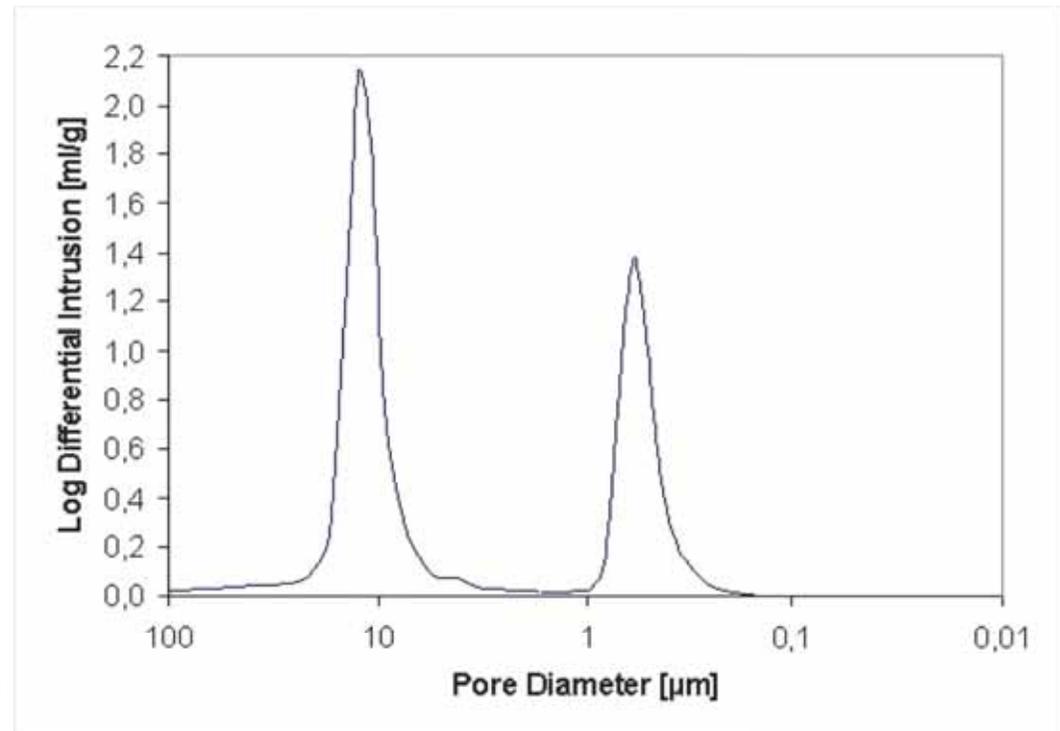


Teller



$$\frac{p}{V(p_0 - p)} = \frac{1}{V_{Mono} \cdot C} + \frac{C-1}{V_{Mono} \cdot C} \cdot \frac{p}{p_0}$$

MIP – Mercury Intrusion Porosimetry



$$p = \frac{-2\gamma \cos \Theta}{r}$$

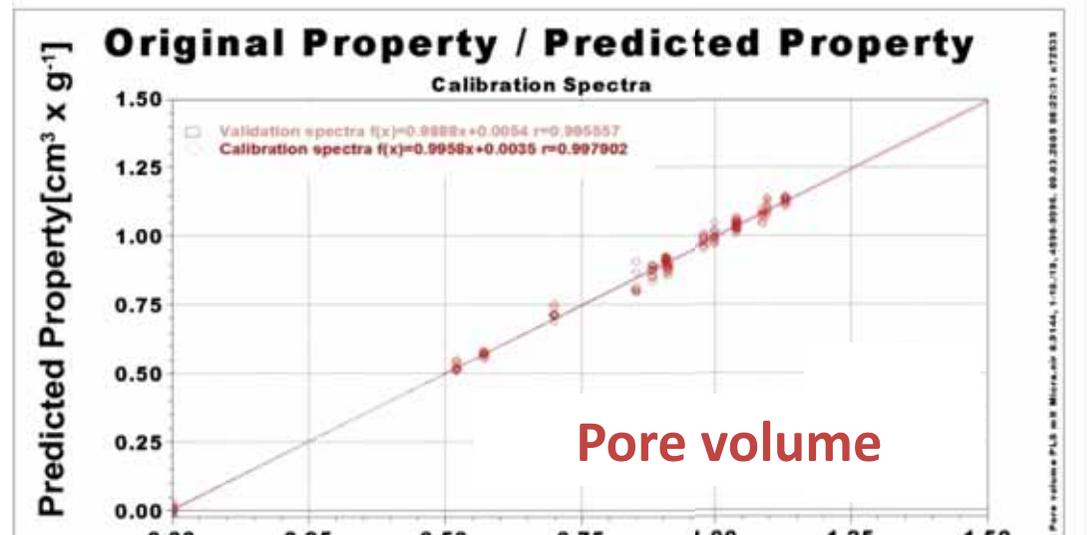
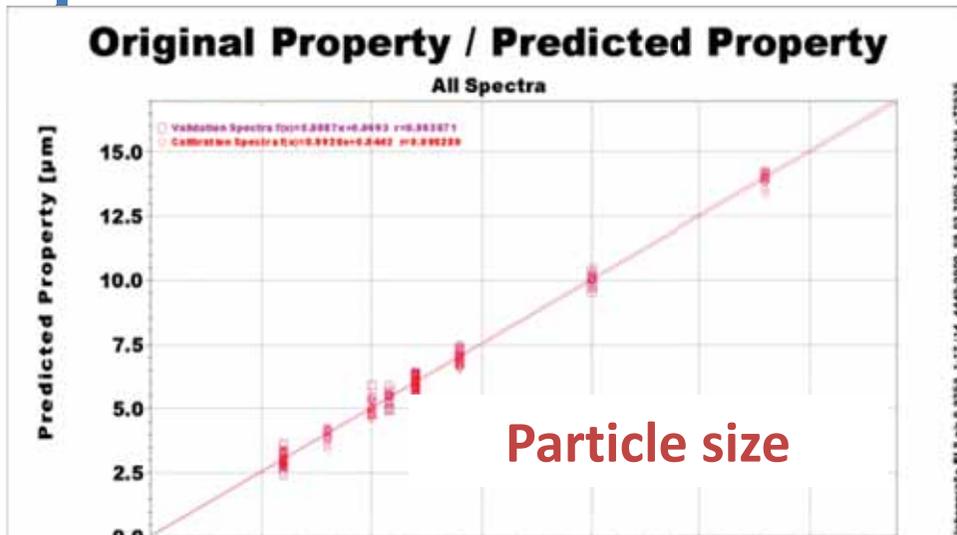
r....pore radius

γ ...surface tension of mercury

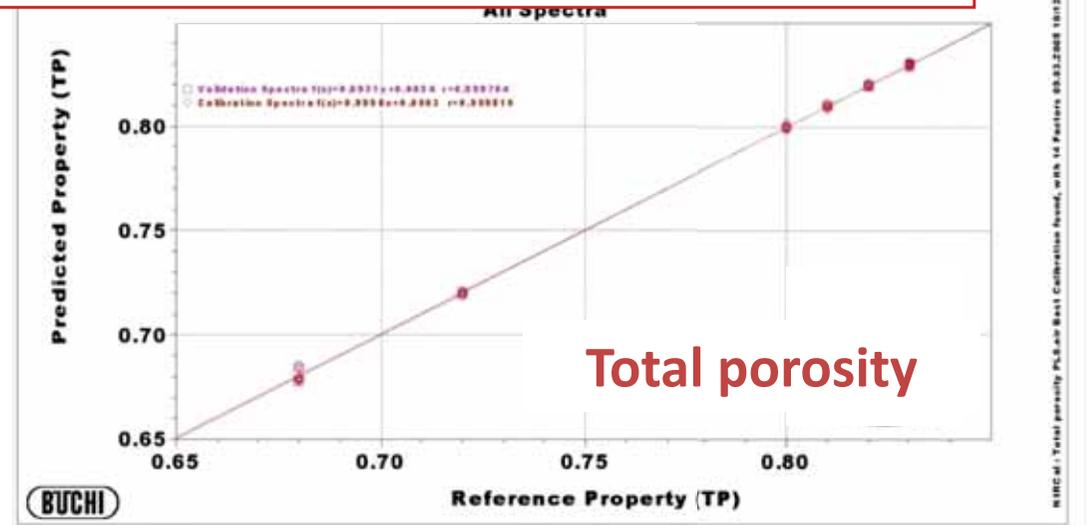
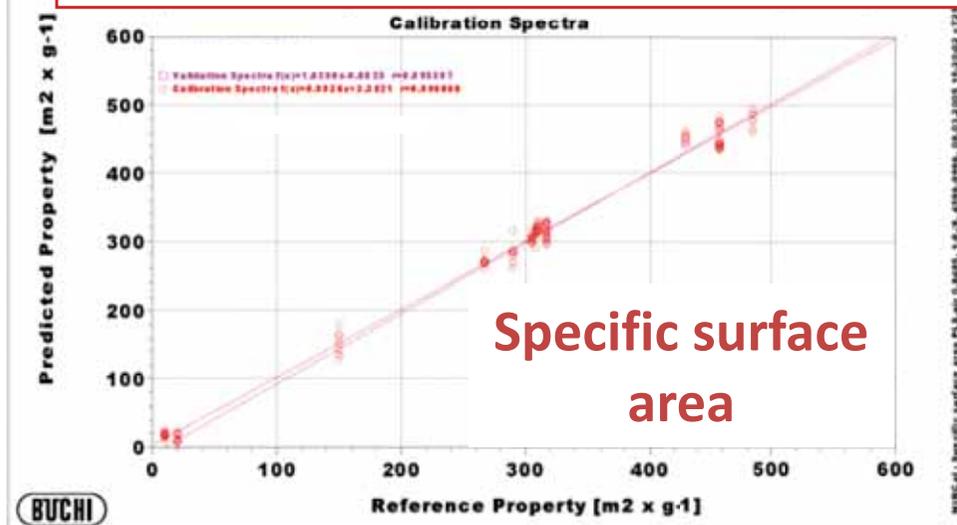
Θ ...wetting angle

p....pressure

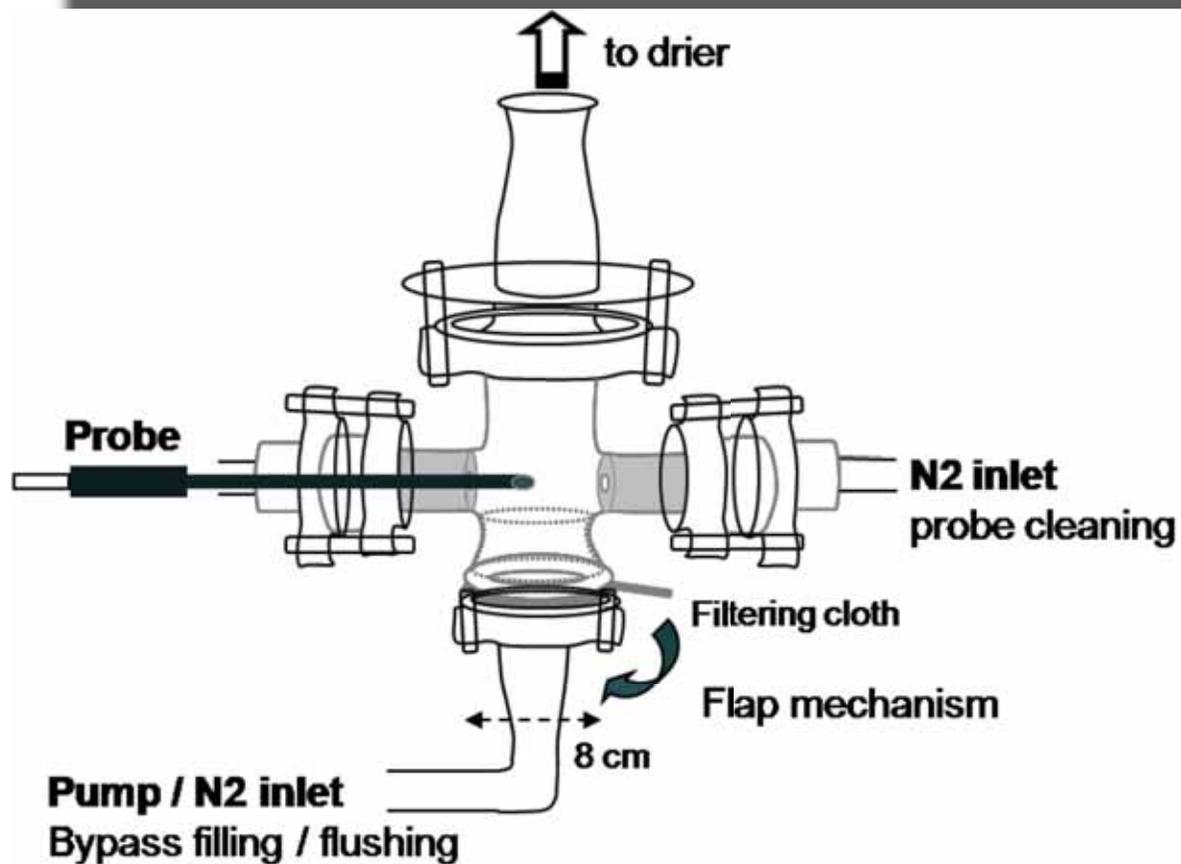
Silica – Physical Properties



Precision NIRS > Reference methods



Installation of a Bypass System



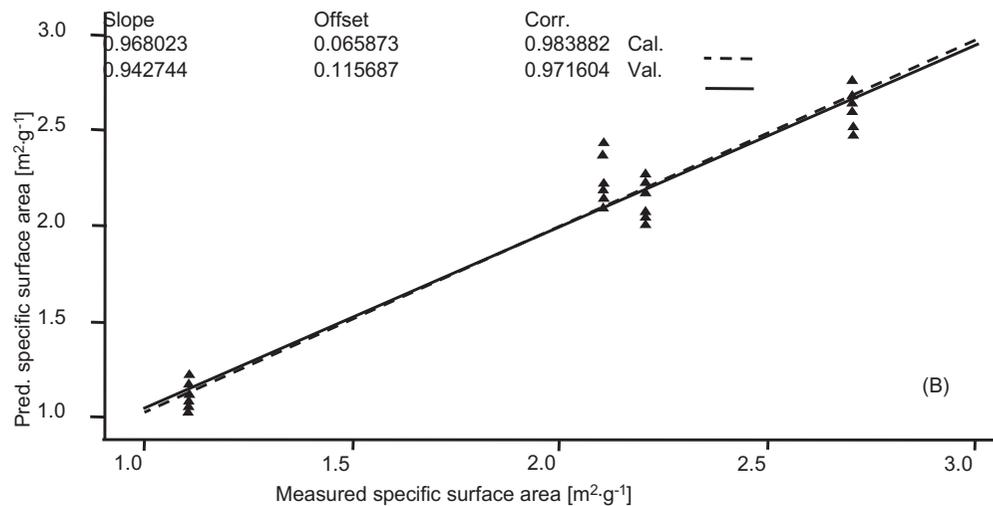
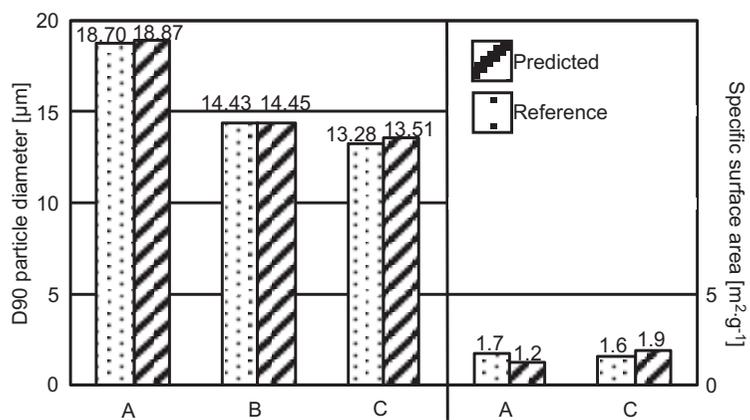
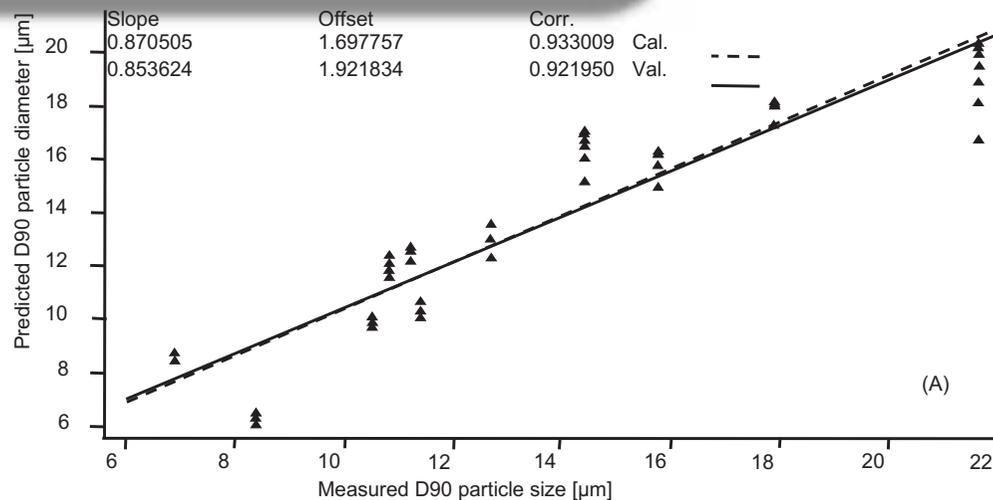
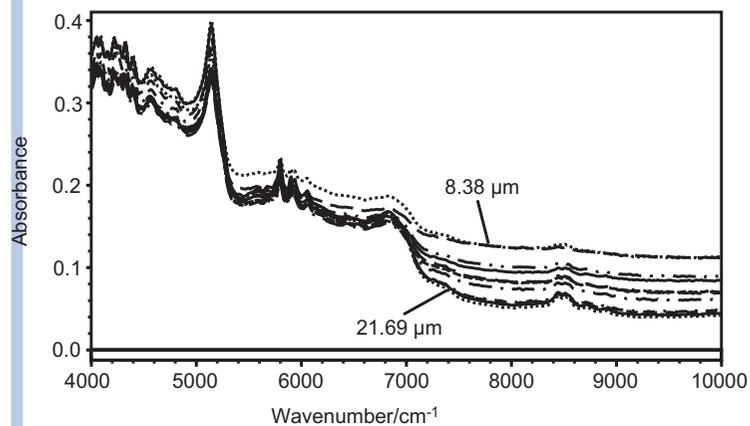
→ quick and reliable filling/purging procedure

→ reproducible sample density

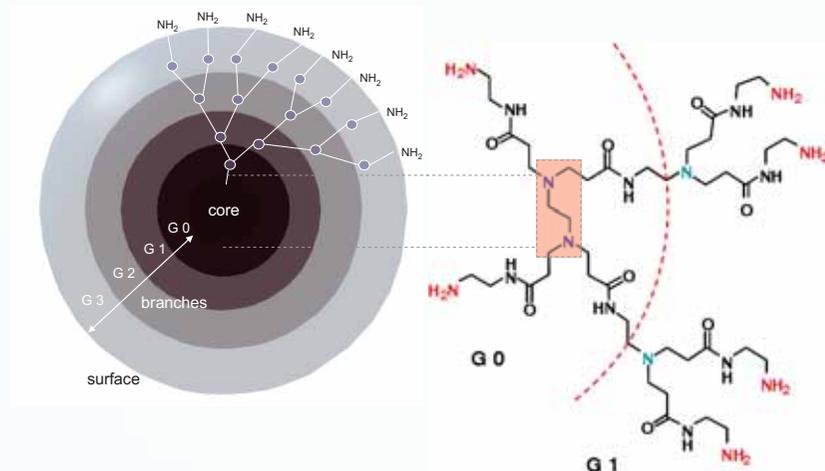
→ probe tip cleaning mechanism

→ optical and easy mechanical access

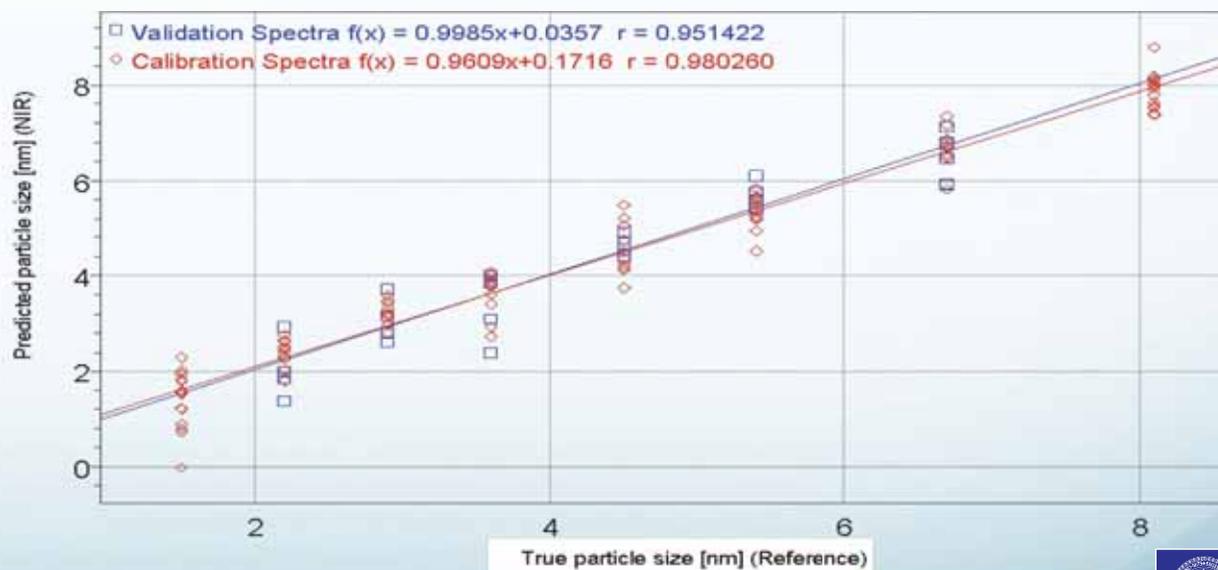
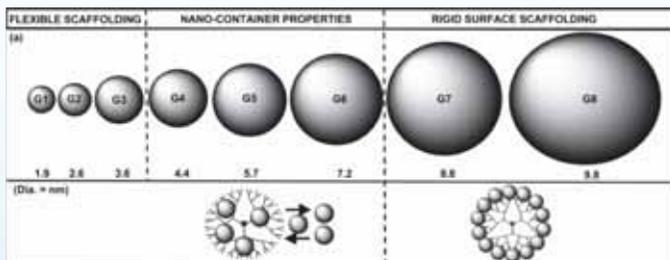
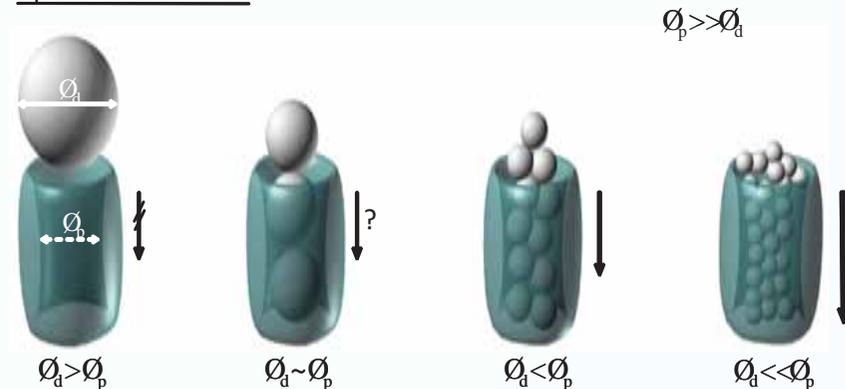
Particle Size and Surface Area



Dendrimere



$$\varnothing_p = \text{const} \varnothing_d ? \text{const}$$



Near-Infrared Spectroscopic Study on Guest–Host Interactions Among G0–G7 Amine-Terminated Poly(amidoamine) Dendrimers and Porous Silica Materials for Simultaneously Determining the Molecular Weight and Particle Diameter by Multivariate Calibration Techniques. [N. Heigl†](#), [S. Bachmann†](#), [C. H. Petter†](#), [M. Marchetti-Deschmann‡](#), [G. Allmaier‡](#), [G. K. Bonn†](#) and [C. W. Huck*†](#). *Anal. Chem.*, 2009, 81 (14), pp 5655–5662. DOI: 10.1021/ac900375z

NIR news

International Council for Near Infrared Spectroscopy



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